

## Background paper to the revised recommendation for hepatitis B vaccination of persons at particular risk and for hepatitis B postexposure prophylaxis in Germany

### Background: the revised recommendation

The German Standing Committee on Vaccination (*Ständige Impfkommission*, STIKO) has revised its recommendation for hepatitis B vaccination of persons at particular risk and for hepatitis B postexposure prophylaxis according to its Standard Operating Procedure (SOP) for the development of evidence-based vaccination recommendations [1]. In abbreviated form, the revision has the following major contents:

### Hepatitis B vaccination for persons at particular risk

- STIKO recommends vaccination of the following three indication groups (in addition to a recommendation for at-risk travellers):
  - *Indication group 1:* Persons at risk of severe hepatitis B due to existing or expected immunodeficiency or suppression or due to other preexisting diseases

- *Indication group 2:* Persons at increased risk of non-occupational exposure
- *Indication group 3:* Persons at increased risk of occupational exposure

Relevant examples are given for each indication group.

- STIKO recommends hepatitis B vaccination of these risk groups, without prior routine serological testing, except in special situations.
- For all groups, STIKO recommends assessing vaccination success by anti-HBs testing 4–8 weeks after the third vaccine dose. An anti-HBs level of  $\geq 100$  IU/l is considered indicative of successful vaccination against hepatitis B.
- For low-responders (anti-HBs 10–99 IU/l), STIKO recommends vaccination with one additional vaccine dose, followed by anti-HBs testing. If anti-HBs is still  $< 100$  IU/l, two more doses should be administered. Controversy exists as to which approach should be followed if anti-HBs is still

$< 100$  IU/l after six doses of hepatitis B vaccine.

- For non-responders (anti-HBs  $< 10$  IU/l), STIKO recommends testing for HBsAg and anti-HBc to exclude chronic infection. If both parameters are negative, the recommendations for low-responders should be followed.
- STIKO does not recommend routine booster immunizations after successful primary vaccination (anti-HBs  $\geq 100$  IU/l). Exceptions are made in patients with humoral immune deficiency for whom annual testing of anti-HBs (and booster immunization if anti-HBs  $< 100$  IU/l) is recommended, as well as in persons who are at particularly high individual exposure risk (anti-HBs testing after 10 years; booster immunization if anti-HBs  $< 100$  IU/l).

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- For persons who were vaccinated against hepatitis B during infancy and who later enter one of the risk groups, STIKO recommends vaccination with one dose of hepatitis B vaccine, followed by anti-HBs testing.

### Postexposure prophylaxis against hepatitis B

- STIKO recommends assessing the HBsAg status of the index patient (i.e., the “donor”). If the donor is HBsAg positive or if HBsAg status of the donor cannot be assessed, post-exposure prophylaxis is recommended according to the vaccination status of the exposed person.
- In fully vaccinated exposed persons, management depends on the result of the most recent anti-HBs test result. Persons with anti-HBs  $\geq 100$  IU/l tested within the last 10 years are considered fully protected. All others should receive postexposure prophylaxis depending on the current and most recent anti-HBs levels.
- In incompletely vaccinated exposed persons, the current anti-HBs level should be determined. Further management depends on the current and most recent anti-HBs levels. Furthermore, the vaccination series should be completed.
- In unvaccinated persons, anti-HBs, HBsAg, and anti-HBc should be determined to decide on further procedures.

For the full text of the STIKO recommendation, see <http://www.stiko.de> (in German).

This paper reports the scientific evidence on which the revision of STIKO's previous recommendations is based.

### Introduction

The aim of the revision was to adapt the recommendations for hepatitis B vaccination of persons at particular risk and for postexposure prophylaxis to bring them in line with the current state of knowledge and to increase their feasibility. The revision does not affect the recommendations for standard vaccination against hepati-

tis B during the first year of life, which remains unchanged (see <http://www.stiko.de>).

Following the methodology of STIKO, the recommendation was adapted after conducting orientating and systematic literature searches on the following questions:

1. Which population subgroups are at increased risk of hepatitis B infection or complications?
2. What is the duration of protection against hepatitis B after primary vaccination?
3. Does duration of protection depend on the level of anti-HBs after primary vaccination?

The results of these searches, which are summarized below, were used to revise the classification of risk groups and the guidance on serological testing, booster immunization as well as postexposure prophylaxis.

### The goal of hepatitis B vaccination

The goal of vaccination against hepatitis B is to prevent acute clinical hepatitis and chronic infection by the hepatitis B virus (HBV) in Germany. Beyond that, all types of HBV infection (including occult infections) should be prevented.

### Hepatitis B: virus, disease, and vaccination

Hepatitis B is inflammation of the liver caused by HBV, a virus that occurs worldwide. It is transmitted sexually and through exposure to infectious blood or body fluids. The majority of infected immunocompetent adults recover spontaneously from disease. However, in 5%–10% of infected patients, disease progresses towards chronic infection, which can result in liver cirrhosis and liver cancer. Chronic disease is more common in children and immunocompromised individuals [2]. According to §6 of the German Protection against Infection Act (*Infektionsschutzgesetz*, IfSG) acute hepatitis B has been a notifiable disease in Germany since 2001.

Vaccination against hepatitis B has been recommended for all infants in Ger-

many since 1995. Furthermore, it is recommended for persons at increased risk for hepatitis B infection. In infants, primary vaccination consists of at least three intramuscular doses of hepatitis B vaccine, which should be administered preferably on a 3–(4) dose schedule at ages 2, (3), 4, and 12 months. After the age of 12 months, a three-dose schedule (0, 1, 6 months) is recommended. Two single antigen vaccines are available in Germany, Engerix B® (GSK) and Recombivax HB® (Merck); additionally, an adjuvant containing a single formulation vaccine, Fendrix® (GSK), is available. Combination vaccines used for the vaccination of infants and children in Germany include Infanrix hexa® (GSK) and Heyxon® (Sanofi Pasteur MSD); Twinrix® (GSK) is used for the vaccination of adults. All these vaccines contain HBsAg produced by DNA recombinant technology and expressed in yeasts.

### Epidemiology of hepatitis B in Germany

In 2012, 1670 cases of hepatitis B were reported to the Robert Koch Institute (RKI), of which 679 met the reference case definition. Compared to 2011 (812 cases according to the reference case definition), the number of cases decreased by 16% [3]. In 2012, the incidence of reported acute hepatitis B in Germany was 0.8 per 100,000 residents, with men (1.2 per 100,000) being more frequently affected than women (0.5 per 100,000). Incidence was highest in men and women aged 30–39 years (2.0 per 100,000 and 0.9 per 100,000, respectively). Only six cases in children and adolescents below 15 years of age were reported to RKI in 2012, equalling the median of the past 5 years. As compared to 2001–2005 (median: 41 cases), the number of cases has declined, most likely due to the introduction in 1995 of standard immunization against hepatitis B for all infants.

As far as can be concluded from the notification data from 2012, sexual transmission was the most prevalent mode of infection, followed by household contact with an HBV carrier, intravenous (i.v.) drug use, and hemodialysis. Of 525 acute HBV cases with information on vaccination status available, 494 (94%) cases were not vaccinated against hepatitis B.

It should be borne in mind that, due to mild or asymptomatic infections [4], the true number of acute hepatitis B infections is likely to be underestimated.

### Classification of risk groups for hepatitis B

Due to occupational exposure, risk behavior or other non-occupational exposure or preexisting diseases, some subgroups are likely to be at increased risk of HBV infection, or are at increased risk of complications and chronification in the case of infection. In addition to the routine recommendation of vaccination of all infants, STIKO therefore recommends vaccinating these risk groups against hepatitis B to protect them against infection and to prevent transmission.

In the previous version of the recommendation, vaccination against hepatitis B was recommended for eight indication groups [5]. For the revised recommendation, these were re-classified into three groups, supplemented by a recommendation for at-risk travelers. Examples are provided for each of the three groups. STIKO emphasizes that these examples should serve merely for orientation and that they are not intended to represent a definitive list of indication groups for vaccination against hepatitis B. In particular, STIKO emphasizes that an individual risk assessment is mandatory, since some of the indication groups include individuals with varying risk of exposure. Furthermore, STIKO underlines that the absence of person groups in the revised recommendation who were explicitly mentioned in the previous version does not mean that these persons should not be vaccinated. Instead, the individual risk of these persons should be assessed to assign them to one of the new indication groups.

By orientating the literature search (search terms: hepatitis B; epidemiology; prevalence; Europe; e.g., HIV, drug use, hemodialysis etc), it was verified that the examples of groups of persons named are either at increased risk of exposure to HBV or are at increased risk of severe disease due to HBV infection. In the following, relevant references are given for each group (for details, see [Tab. 1, 2, and Tab. 3](#)):

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#### Abstract

The German Standing Committee on Vaccination (*Ständige Impfkommision*, STIKO) recommends vaccinating risk groups against hepatitis B and gives advice for postexposure prophylaxis. STIKO has recently revised this recommendation, focusing on: (i) classification of risk groups, (ii) duration of protection after primary immunization, and (iii) anti-HBs threshold that defines successful hepatitis B vaccination. Orientating literature reviews were performed for the first objective. Examples of population subgroups at increased risk were identified and classified into three indication groups. Systematic reviews on the duration of vaccine-induced protection identified one randomized controlled trial (RCT) and nine cohort studies. When applying the grading of recommendation, assessment, development, and evaluation (GRADE) methodology, evidence from RCTs was considered of very low quality regarding the question of whether hepatitis B can be prevented for 15 years after successful primary vaccination (anti-HBs  $\geq 10$  IU/l) with a vaccine efficacy of

96% against chronic hepatitis, 89% against HBsAg positivity, and 73% against isolated anti-HBc positivity. However, seven cohort studies showed that no cases of clinical hepatitis B or HBsAg positivity occurred during a maximum follow-up period of 10 years in settings comparable to the situation in Germany when anti-HBs  $\geq 10$  IU/l was used to indicate vaccination success. Less than 1% of vaccinated study participants had isolated anti-HBc positivity. GRADE assessment of two cohort studies revealed that evidence of very low quality exists that the use of anti-HBs  $\geq 100$  IU/l to measure vaccination success leads to a lower frequency of anti-HBc positivity during follow-up than the use of anti-HBs  $\geq 10$  IU/l. The recommendation was revised according to this evidence.

#### Keywords

Hepatitis B vaccination · Duration of protection · Vaccination recommendation · Standing committee on vaccination (STIKO) · Germany

### Hintergrundpapier zu den überarbeiteten STIKO-Empfehlungen für die Hepatitis-B-Indikationsimpfung und postexpositionelle Hepatitis-B-Prophylaxe

#### Zusammenfassung

Die Ständige Impfkommision (STIKO) empfiehlt die Impfung von Risikogruppen gegen Hepatitis B (Indikationsimpfung) und die Postexpositionsprophylaxe. Diese Empfehlung wurde überarbeitet, unter Fokussierung auf i) die Risikogruppen, ii) die Schutzdauer nach Grundimmunisierung und iii) den Schwellenwert von Anti-HBs als Impferfolgskriterium. Risikogruppen wurden mittels orientierender Literaturrecherche identifiziert. Drei Indikationsgruppen wurden beispielhafte Personengruppen zugeordnet. Systematische Literaturrecherchen zur Schutzdauer nach Grundimmunisierung identifizierten eine randomisierte kontrollierte Studie (RCT) und 9 Kohortenstudien. Die Evidenzqualität wurde mittels Grading of Recommendation, Assessment, Development, and Evaluation (GRADE)-Methodik bewertet. Die Qualität der Evidenz aus RCTs dafür, dass Hepatitis B für eine Dauer von 15 Jahren nach erfolgreicher Grundimmunisierung (Anti-HBs  $\geq 10$  IE/l) mit einer Effektivität von 96% für chronische Hepatitis B, 89% für HBsAg-Posi-

tivität und 73% für isolierte Anti-HBc-Positivität verhindert wird, wurde als sehr gering bewertet. Sieben Kohortenstudien zeigten, dass für eine Dauer von maximal 10 Jahren unter mit Deutschland vergleichbaren Bedingungen keine Fälle von klinischer Hepatitis B oder HBsAg-Positivität auftraten, wenn Anti-HBs  $\geq 10$  IE/l als Impferfolgskriterium verwendet wurde. Weniger als 1% der geimpften Probanden hatten isolierte Anti-HBc-Positivität. Die GRADE-Bewertung von 2 Kohortenstudien ergab, dass Evidenz von sehr geringer Qualität dafür vorliegt, dass die Verwendung des Impferfolgskriteriums Anti-HBs  $\geq 100$  IE/l zu einer geringeren Häufigkeit von Anti-HBc-Positivität führt als die Verwendung des Kriteriums Anti-HBs  $\geq 10$  IE/l. Die Impfempfehlung wurde entsprechend dieser Evidenzlage überarbeitet.

#### Schlüsselwörter

Hepatitis B-Impfung · Dauer des Schutzes · Impfempfehlung · Ständige Impfkommision (STIKO) · Deutschland

**Tab. 1** Literature data on groups of persons belonging to indication group 1: persons at risk of severe hepatitis B due to existing or expected immunodeficiency or –suppression or due to other preexisting diseases

Group of persons	Study	Study setting	Results
HIV-positive persons	Konopnicki et al. [66]	Cohort study (EuroSIDA Cohort, n=9802) from 72 HIV centers, Europe	HBsAg was detected in 498 (8.7%) patients. Chronic hepatitis B increased liver-associated mortality in HIV-infected patient, but had no impact on progression to AIDS or on response to antiretroviral therapy
	Koziel et al. [67]	Review	HBV coinfections are common in HIV patients due to similar transmission route, with a frequency of 5%–10% in the US and 20%–30% in Asia
	Reuter et al. [68]	Cohort study (n=918), Germany	Current or previous HBV infection was detected in 43.4% of patients. HBsAg was detected in 4.5% and HBV-DNA in 6.1% of cases
Hepatitis C-coinfection	Chu et al. [6]	Review (n>5000), Europe and Asia	In hepatitis B/C coinfection, the majority of studies shows severe liver damage, high prevalence of liver cirrhosis and an increased incidence of hepatocellular carcinoma, compared to patients without coinfection
	Amin et al. [7]	Retrospective study (surveillance data, n>120,000), Australia	Compared to the general population, persons with viral hepatitis had an increased risk of death due to liver-associated disease, which was highest in hepatitis B/C-coinfected patients (standardized mortality ratio: 32.9 vs. 12.2 for patients without co-infection)
Patients on hemodialysis	Burdick et al. [8]	Cross-sectional study (n=8615) from 308 dialysis centers in Europe and the US	In Germany, the adjusted prevalence of hepatitis B (clinical or HBsAg positivity) in this patient group was 4.6%
	Lanini et al. [9]	Systematic review of outbreaks (n=471 in 33 outbreaks) in the US and Europe	The majority of hepatitis B outbreaks occurred in dialysis units (30.3% of outbreaks, followed by 21.2% on medical wards)
	Kliem et al. [10]	Cohort study (n=1,633), Germany	Prevalence of HBsAg positivity in this group declined from 4.6% between 1995 and 1999 to 2.0% between 2000 and 2002. It was, however, still substantially higher than in the general population in Germany

- **Indication group 1:** Persons at risk of severe hepatitis B due to existing or expected immunodeficiency or –suppression or due to other preexisting diseases. Examples: HIV-positive individuals, hepatitis C-positive persons [6, 7], patients on hemodialysis [8, 9, 10].
- **Indication group 2:** Persons at increased risk of non-occupational exposure. Examples: Persons living together with HBsAg carriers [11, 12, 13], persons at high risk of acquiring hepatitis B by sexual contact [11, 14, 15], people who inject drugs [14, 16, 17], prison inmates [18, 19, 20], psychiatric inpatients [21, 22].
- **Indication group 3:** Persons at increased risk of occupational exposure. Examples: Health care personnel (HCP) at risk of exposure (including trainees, laboratory personnel, cleaning personnel) [23, 24, 25], first aiders [26, 27], police officers [29], personnel of facilities where an increased prevalence of HBV-infected persons is likely to be present (e.g., correctional facilities, asylum seeker shelters,

homes for the handicapped) [18, 19, 20, 27, 28, 29].

### Duration of protection after primary vaccination against hepatitis B

A systematic literature review was conducted on the duration of protection against hepatitis B after successful primary vaccination at the age of  $\geq 1$  year. The review aimed at identifying long-term studies that investigated the incidence of hepatitis B breakthrough infections in persons who had received primary vaccination without subsequent booster vaccinations. “Breakthrough infection” but not the level of anti-HBs during follow-up was defined as the primary outcome, since loss of measurable anti-HBs after successful primary vaccination does not indicate a loss of protection against hepatitis B infection, probably due to immunological memory [30]. Therefore, only the presence of a breakthrough infection definitely indicates loss of protection against HBV.

The evidence assessment process was conducted according to the SOP of

STIKO for the systematic development of vaccination recommendations [1]. Following the methodology of the GRADE Working Group [31], patient-relevant outcomes were defined and rated regarding their importance for decision-making.

The following outcomes, which are indicators for breakthrough infections after successful primary vaccination, were rated as “critical” or “important”:

#### CRITICAL:

- Death due to hepatitis B
- Any clinical hepatitis B
- Acute hepatitis B
- Chronic hepatitis B
- HBsAg positivity
- HBV DNA positivity

#### IMPORTANT:

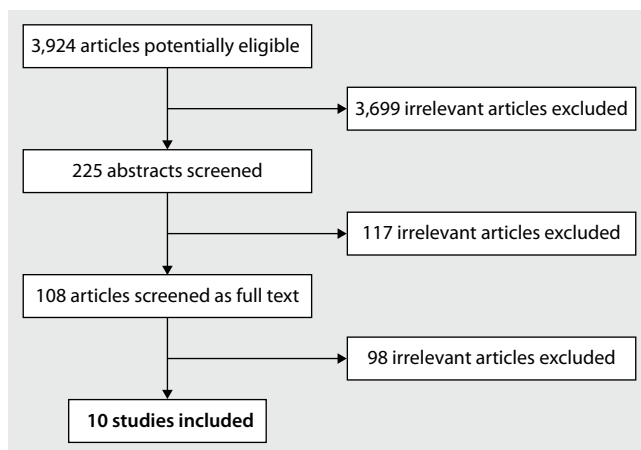
- Anti-HBc positivity

Taking these outcomes into consideration, a systematic review of the published literature was conducted using the databases MEDLINE, EMBASE, SciSearch, Cochrane Central Register of Controlled Trials, and Cochrane Database of Systematic Reviews (search terms: “hepatitis B”;

Tab. 2 Literature data on groups of persons belonging to indication group 2: persons at increased risk of non-occupational exposure			
Group of persons	Study	Study setting	Results
Persons living together with HBsAg carriers	Robert Koch Institute [11]	Notification data, Germany	Living together with an HBsAg carrier was reported in 35 cases (5% of all notifications) as possible cause of infection
	Craxi et al. [12]	Cohort study, Italy	Transmission in households with HBsAg carriers plays a major role in spread of hepatitis B, particularly among siblings
	Franks et al. [13]	Cohort study, US	HBsAg carriers can transmit hepatitis B within households, but also between households
Persons with high-risk sexual behavior	van Houdt et al. [14]	Cohort study (n=1862), the Netherlands	Between 1984 and 2002, hepatitis B serology was analyzed in 1862 sera of men who have sex with men (MSM). Of these, 44% were anti-HBc-positive at study entry. In total, 64 MSM showed seroconversion during the course of the study and 23% of these developed chronic infection
	Robert Koch Institute [11]	Notification data, Germany	Sexual transmission was reported in 43 cases (49% of cases with reliable data on mode of transmission), thus representing the major mode of transmission. This included 17 cases (40% of cases with sexual transmission) with homosexual contacts among men
	Veldhuijzen et al. [15]	Notification data, the Netherlands	The majority of notified cases (59%) were caused by sexual contacts. Of these, 52% were homosexual contacts
People who inject drugs	Nelson et al. [16]	Systematic review	For Germany, anti-HBc prevalence in i.v. drug users was estimated to be 53% in 2001–2003. For the same period, HBsAg prevalence was 6.0%–8.4% in this risk group
	Brack [17]	Retrospective cohort study (n=1791)	Prevalence of hepatitis B (defined as antibodies against HBV) was 41.4% in this group
	van Houdt et al. [14]	Cohort study (n=1862), 1984–2002	83 i.v. drug users showed anti-HBc seroconversion during the course of the study. Of these, 28% developed chronic infection
Prison inmates	Removille et al. [18]	Cross-sectional study, Luxembourg	Prevalence of acute or previous hepatitis B was 34.8% in prison inmates
	Gupta et al. [19]	Review, US	In the US, prevalence of hepatitis B is five times higher in prison inmates than in the general population
	Khan [20]	Serological cross-sectional study (n=1124), US	At study entry, active or previous hepatitis B was diagnosed in 20.5% of prison inmates. Acute or chronic hepatitis B was present in 1%, while 18.5% had previous hepatitis B infection
Psychiatric inpatients	Velinga et al. [21]	Review (n>8000), Europe, US, and others	Prevalence of HBsAg positivity was increased in patients with mental handicaps in nearly all study settings, ranging from 0% to 53%
	Asensio et al. [22]	Cohort study (n=171), Spain	In total, 46.8% of patients were anti-HBc positive and anti-HBs positive, 24.6% were anti-HBc positive only, 8.8% were HBsAg positive and anti-HBc positive. Only 18.7% of patients were negative for all HBV markers

Tab. 3 Literature data on groups of persons belonging to indication group 3: persons at increased risk of occupational exposure			
Group of persons	Study	Study setting	Results
Health care personnel including trainees, as well as laboratory and cleaning personnel	Danzmann et al. [23]	Systematic review	HBV was among the predominant pathogens in 152 nosocomial outbreaks
	Wicker et al. [24]	Cohort study, Germany	Prevalence of hepatitis B in hospital patients was nine times higher than in the general population, thereby putting health care personnel at increased risk, particularly due to needlestick injuries
	Janzen et al. [25]	Case-control study (n=3770), Germany	Prior to implementation of vaccination, prevalence of HBsAg positivity was 2.2%, while anti-HBs prevalence was 11.7%. Physicians (18.2%), nursing staff (20.1%), and cleaning personnel (26.3%) were most often affected
First aiders, police officers	Rischitelli et al. [26]	Review, US	First aiders are at increased risk of infection, comparable to the risk in health care personnel
	Lorentz et al. [27]	Cross-sectional study (n=803), US	About 30% of police officers reported at least one needlestick injury. Only 39% of these sought medical care
Personnel in facilities where an increased prevalence of HBV-infected persons is likely to be present	Breuer et al. [28]	Cohort study, US	Teachers and school children are at increased risk of hepatitis B infection if they come in contact with an HBsAg carrier in the classroom
	Remis et al. [29]	Cohort study, Canada	Teachers at schools for the mentally handicapped can be at increased risk of hepatitis B infection





**Fig. 1** ◀ Flow chart of the systematic review

“vaccin\*”; “immunization”; “random\*”; “blind\*”; “placebo”; “meta-analysis\*”; “cohort”; “time series”; “case-control”; “ecological”; “cross-sectional”). Additionally, the results of four Cochrane Reviews [32, 33, 34, 35] and one meta-analysis [36] relevant to the topic were considered.

The literature search yielded 3924 publications. Studies meeting the following inclusion criteria were included:

1. Randomized controlled trial (RCT) or observational study (all study designs)
2. Age at start of primary vaccination:  $\geq 1$  year
3. No previous hepatitis B infection (proven by serological testing)
4. Vaccination with a hepatitis B vaccine according to the schedule 0-1-6(-12) months
5. Success of vaccination evaluated and reported (proven by anti-HBs testing)
6. No booster vaccinations during follow-up
7. Duration of follow-up  $\geq 5$  years
8. At least one of the above-mentioned important or critical outcomes was investigated.

Application of the inclusion criteria led to the identification of one RCT [37] and nine observational studies ([38, 39, 40, 41, 42, 43, 44, 45, 46], see **Fig. 1**).

The results of the systematic literature review are reported below in detail, as well as an assessment of the quality of evidence according to GRADE, where applicable. Due to the heterogeneity in study designs and results, a meta-analysis with statistical pooling of results was not performed.

## Randomized controlled trials

The only RCT meeting all inclusion criteria [37] was performed in China, using a plasma-derived vaccine and including 649 participants (vaccine group: 308, placebo group: 341; age at vaccination: 14 months). Successful vaccination was defined as anti-HBs  $\geq 10$  IU/l after primary vaccination. Participants were followed-up for a period of 15 years, during which the majority of participants left the study (vaccine group: 83%, placebo group: 72%). At 15 years following primary vaccination, vaccine efficacy was 96% [95% confidence interval (CI): 74–99%] against chronic hepatitis B, 89% (95% CI: 12–99%) against HBsAg positivity, and 73% (95% CI: 57–84%) against isolated anti-HBc positivity.

Based on these data, the quality of evidence was assessed using the GRADE methodology and results summarized in a GRADE evidence profile (**Tab. 4**). High loss-to-follow-up rates, which differed between vaccine and placebo groups, were considered to indicate high risk of bias. Evidence was classified as “indirect” considering the nature of the vaccine used in the study (plasma-derived). Because the study was conducted in a region with a high HBsAg carrier prevalence (China), which could have resulted in natural boosting of study participants, evidence was further downgraded for indirectness. In summary, there is very low quality evidence (i.e., very low confidence in the effect estimate) that successful primary vaccination against hepatitis B (indicated by anti-HBs  $\geq 10$  IU/l) protects against hepatitis B over a period

of 15 years with the above-mentioned efficacy data.

## Observational studies

All nine observational studies were single-armed cohort studies [38, 40, 41, 42, 45, 46] or arms of former RCTs which were continued as cohort studies [39, 43, 44]. None of the studies included a non-vaccinated control group. In seven out of nine cohort studies, successful vaccination was defined as anti-HBs  $\geq 10$  IU/l after primary vaccination [38, 39, 40, 41, 43, 45, 46]. In the remaining two studies, results were stratified according to anti-HBs levels after primary vaccination (10–99 IU/l vs.  $\geq 100$  IU/l) [42, 44].

Study characteristics of the seven single-armed cohort studies, in which vaccination success was defined as anti-HBs  $\geq 10$  IU/l, are shown in **Tab. 5**. The study by But et al. [39] was divided into two sub-studies, since participants were vaccinated with either a plasma-derived or a recombinant vaccine. Duration of follow-up in the seven studies ranged from 5 to 22 years. Cases of clinical hepatitis B or HBsAg positivity were not observed in any of the studies. Cases of isolated anti-HBc positivity were found in five studies, suggesting breakthrough infection [4]. These occurred in 1.7%–26% of participants, mainly in countries with a moderate or high prevalence of HBsAg carriers (e.g., China, India, Iran).

In terms of HBV exposure risk and vaccination, two of the seven cohort study settings were considered to be comparable to Germany. In the Canadian study performed by Gilca et al. [43], 326 children aged 8–10 years were vaccinated with a recombinant vaccine (Engerix B®, GSK) and followed-up over a period of 10 years, during which 15% of the participants left the study. No cases with HBsAg positivity or isolated anti-HBc positivity were observed during the entire follow-up period. The Belgian study by Van Herck et al. [46] included 188 adult participants (mean age at vaccination:  $23.3 \pm 0.28$  years) who were vaccinated with a recombinant vaccine (not further specified) and were followed-up for a period of 8 years. Of these participants, 79% were lost to follow-up. No cases of HBsAg positivity or isolated an-

**Tab. 4** GRADE evidence profile for randomized placebo-controlled trials on duration of protection after primary vaccination against hepatitis B

Quality assessment													
No. of studies		Design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	No. of patients		Effect			
								Vaccination (response: anti-HBs >=10 IU/l) without booster doses	Control	Relative (95% CI)	Absolute	Quality	Importance
Chronic hepatitis B (follow-up 15 years)													
1	Randomized trials	Serious <sup>a</sup>	No serious inconsistency	Very serious <sup>b</sup>	No serious imprecision	None	1/52 (1.9%)	28/54 (51.9%)	RR 0.037 (0.005 to 0.263)	499 fewer per 1000 (from 382 fewer to 516 fewer)	+ VERY LOW	CRITICAL	
								1%		10 fewer per 1000 (from 7 fewer to 10 fewer)			
								20%		193 fewer per 1000 (from 147 fewer to 199 fewer)			
HBsAg positivity (follow-up 15 years)													
1	Randomized trials	Serious <sup>a</sup>	No serious inconsistency	Very serious <sup>b</sup>	No serious imprecision	None	1/52 (1.9%)	9/54 (16.7%)	RR 0.115 (0.015–0.879)	148 fewer per 1000 (from 20 fewer to 164 fewer)	+ VERY LOW	CRITICAL	
								1%		9 fewer per 1000 (from 1 fewer to 10 fewer)			
								50%		442 fewer per 1000 (from 60 fewer to 493 fewer)			
Anti-HBc positivity (follow-up 15 years)													
1	Randomized trials	Serious <sup>a</sup>	No serious inconsistency	Very serious <sup>b</sup>	No serious imprecision	None	3/52 (5.8%)	23/54 (42.6%)	RR 0.266 (0.163–0.433)	313 fewer per 1000 (from 241 fewer to 356 fewer)	+ VERY LOW	IMPORTANT	
								1%		7 fewer per 1000 (from 6 fewer to 8 fewer)			
								20%		147 fewer per 1000 (from 113 fewer to 167 fewer)			
Death due to hepatitis B: not reported													
0	–	–	–	–	–	None	–	–	–	–	–	CRITICAL	
Any clinical hepatitis B: not reported													
0	–	–	–	–	–	None	–	–	–	–	–	CRITICAL	
Acute clinical hepatitis B: not reported													
0	–	–	–	–	–	None	–	–	–	–	–	CRITICAL	
HBV-DNA positivity: not reported													
0	–	–	–	–	–	None	–	–	–	–	–	CRITICAL	

Percentage lost to follow-up differs between vaccinated (83%) and placebo (72%) groups; randomization process not described; 16 participants received incomplete vaccination courses; primary non-responders not explicitly excluded.

Plasma-derived vaccine was used. Study was performed in a hyperendemic region (China).

**Tab. 5** Cohort studies on the duration of protection after primary vaccination against hepatitis B (vaccination success: anti-HBs $\geq$ 10 IU/l)

Study	No. (final)	Age at vaccination (years; median)	Country	Participants	Vaccine type	Duration of follow-up (years)	Percentage of participants who were lost to follow-up (%)	Percentage of participants with isolated anti-HBc positivity (%)
Alavian et al. [38]	113	32	Iran	Health care personnel	Recombinant	16	43	26
But et al. (I) [39]	17	5.6	China	Children	Recombinant	22	84	5.9
But et al. (II) [39]	21	5.3	China	Children	Plasma-derived	22	80	4.8
Chadha et al. [40]	30	37	India	Laboratory personnel	Recombinant	10	12	0
Durlach et al. [41]	114	37	Argentina	Hospital personnel	Recombinant	10	57	1.7
Gilca et al. [43]	276	9	Canada	School children	Recombinant	10	15	0
Mintai et al. [45]	95	14	China	Children	Plasma-derived	5	0	9.5
Van Herck et al. [46]	40	23	Belgium	General population	Recombinant	8	79	0

ti-HBc positivity were observed in the remaining participants. No cases of acute or chronic hepatitis B were reported in either publication [43, 46].

An observational study published by Zanetti et al. [47] was considered to provide additional evidence. Although this study did not meet all inclusion criteria of the systematic review (lack of a definition of vaccination success in the publication), it was considered informative in terms of the investigated population, length of follow-up period, and included parameters. This was a retrospective cohort study performed in Italy which included 446 male army recruits. Participants were vaccinated during childhood with a recombinant vaccine (Engerix B®, GSK), had not received booster vaccinations, and were re-investigated 10 years after primary vaccination. No cases of HBsAg positivity were observed. Isolated anti-HBc positivity was detected in 0.9% of the participants. None of the participants was positive for HBV DNA.

The quality of evidence from the single-armed cohort studies cannot be assessed by the GRADE methodology because all studies lacked a non-vaccinated comparison group. Taken together, these studies show that no cases with clinical hepatitis or HBsAg positivity occurred during a 10-year follow-up period in settings comparable to Germany (low prevalence of HBsAg carriers; use of recombinant vaccines) when vaccination success was defined as anti-HBs  $\geq$ 10 IU/l. However, the small numbers of participants in

the studies need to be considered: Given the low incidence of hepatitis B in Germany, very large and long-term prospective cohort studies would have been needed to detect breakthrough infections even if vaccine effectiveness was low. In the studies from Europe and North America, less than 1% of vaccinated participants showed isolated anti-HBc positivity during the follow-up period.

### Anti-HBs threshold for the definition of vaccination success

In order to address the question of whether duration of protection depends on the level of anti-HBs after primary vaccination, studies stratifying results according to the anti-HBs level were analyzed. Such data were available from two cohort studies conducted in Canada [42] and the US [44]. Duval et al. [42] included 328 participants who originated from a study arm of a randomized controlled trial. Participants were 8–10 years old at the time of primary vaccination with a recombinant vaccine (Recombivax-HB®, Merck) and were followed-up over a period of 5 years. In all, 17% of the participants left the study during follow-up. By the end of the study, no cases of clinical hepatitis B, HBsAg positivity, or isolated anti-HBc positivity were observed, either in participants who had anti-HBs levels of 10–99 IU/l after primary vaccination (n=7) or in those who had anti-HBs levels of  $\geq$ 100 IU/l (n=268).

The study by Hadler et al. [44] included 773 participants who originated from the vaccinated study arm of an RCT in homosexual men. At primary vaccination, participants were  $29.7 \pm 7.6$  years old. Study participants were vaccinated with a plasma-derived vaccine (Merck) and were followed-up for 5 years. Due to unclear reporting, the proportion of participants who were lost to follow-up could not be calculated. Study results for the outcomes “HBsAg positivity” and “isolated anti-HBc positivity” were stratified according to anti-HBs level after primary vaccination, measured in sample ratio units (SRU). Incidence of isolated anti-HBc positivity was significantly lower in participants with anti-HBs  $\geq$ 100 SRU (425 participants), as compared to those who had an anti-HBs of 10–99 SRU (210 participants) after vaccination [relative risk (RR): 0.14; 95% CI: 0.06–0.30]. This was accompanied by a non-significantly lower incidence of HBsAg positivity (RR: 0.49; 95% CI: 0.03–7.86) in the former group.

For the following reasons, the findings of this study need to be interpreted with caution: In addition to the use of a plasma-derived vaccine, the study population experienced a high level of HBV exposure, as shown by a prevalence of HBsAg positivity of 13% in the study population prior to implementation of the vaccination, indicating a high chance of natural boosting. Furthermore, the lost-to-follow-up rate was unclear. Finally, the SRUs used in the study to measure anti-HBs are not



**Tab. 6** GRADE evidence profile for cohort studies (stratified according to anti-HBs level) on duration of protection after primary vaccination against hepatitis B

Quality assessment		No. of patients				Effect		Quality	Importance	
No. of studies	Design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	Vaccination (re-sponse: anti-HBs >= 100 IU/l)	Vaccination (response: anti-HBs 10–99 IU/l)	Relative (95% CI)	Absolute
HBsAg positivity (follow-up median 5 years)										
2	Observational studies	Serious <sup>a</sup>	No serious inconsistency	Very serious <sup>b</sup>	No serious imprecision	None	1/693 (0.14%)	1/217 (0.46%) 0%	RR ranged from-to 0.49 <sup>c</sup>	– – + VERY LOW
Anti HBc positivity (follow-up median 5 years)										
2	Observational studies	Serious <sup>a</sup>	No serious inconsistency	Very serious <sup>b</sup>	No serious imprecision	None	8/693 (1.2%)	28/217 (12.9%) 0%	RR ranged from-to 0.14 <sup>c</sup>	– – + VERY LOW
Chronic hepatitis B: not reported										
0	–	–	–	–	–	None	–	–	–	–
Death due to hepatitis B: not reported										
0	–	–	–	–	–	None	–	–	–	–
Any clinical hepatitis B: not reported										
0	–	–	–	–	–	None	–	–	–	–
Acute clinical hepatitis B: not reported										
0	–	–	–	–	–	None	–	–	–	–
HBV-DNA positivity: not reported										
0	–	–	–	–	–	None	–	–	–	–

<sup>a</sup>Lost-to-follow-up rate in one cohort (Hadler et al. [441]) unclear. <sup>b</sup>Plasma-based vaccine was used in one study (Hadler et al. [441]), anti-HBs-response was defined as SRU instead of IU/l in one study (Hadler et al. [441]), one study (Hadler et al. [441]) was performed in a hyperendemic population. <sup>c</sup>RR cannot be calculated in one study (Duval et al. [421]) since no events occurred in either group.

<sup>a</sup>lost-to-follow-up rate in one cohort (Hadler et al. [44]); unclear;<sup>b</sup>Plasma-based vaccine was used in one study (Hadler et al. [44]); anti-HBs-response was defined as SRU instead of IU/l in one study (Hadler et al. [44]); one study (Hadler et al. [44]) was performed in a hyperendemic population;<sup>c</sup>RR cannot be calculated in one study (Duval et al. [42]) since no events occurred in either group.

linearly related to the currently used unit of measurement (IU/l), particularly in the upper measurement range of the assays.

According to GRADE criteria, there is evidence of very low quality (i.e., very low confidence in the effect estimate) that the use of anti-HBs  $\geq 100$  IU/l to define successful vaccination leads to a lower frequency of breakthrough infections (measured as anti-HBc positivity) during long-term follow-up (duration: 5 years) than the use of anti-HBs  $\geq 10$  IU/l (■ Tab. 6).

### Synopsis and interpretation of the evidence

The available evidence is considered sufficient by a number of international committees and expert panels to presume long-term or even life-long protection against hepatitis B after primary vaccination (without booster doses) if the vaccinee's anti-HBs level is  $\geq 10$  IU/l 4–8 weeks after vaccination [48, 49, 50, 51].

However, STIKO emphasizes that a synoptic appraisal of the evidence should also consider the diagnostic quality of anti-HBs assays. In a study by Huzly et al. [52], nine commercially available assays that are used to quantify anti-HBs were analyzed. This study detected considerable variation in test results when analyzing identical specimens, resulting in a variation coefficient of 47%. Huzly et al. concluded that no investigated assay was able to provide reliable and reproducible results in the range 5–20 IU/l. Accordingly, the authors consider an anti-HBs of  $\geq 10$  IU/l an unreliable threshold to define successful vaccination.

In conclusion, STIKO considers an anti-HBs level of  $\geq 100$  IU/l indicative of successful vaccination against hepatitis B. This threshold is also recommended by other National Immunization Technical Advisory Groups (NITAGs) in Europe [53, 54, 55]. Since the response to hepatitis B vaccination is influenced by a variety of factors such as age, body weight, smoking behavior, immune status, and genetics [56, 57, 58, 59, 60], vaccination success should be demonstrated by testing for anti-HBs in all persons at particular risk vaccinated against hepatitis B.

Controversies exist as to how to manage individuals who remain low respond-

ers or non-responders even after two completed vaccination series (total of six doses), i.e., who continue to show anti-HBs levels of 10–99 IU/l or  $<10$  IU/l. While CDC's Advisory Committee on Immunization Practices (ACIP) does not recommend further vaccine doses [50], others have described that up to 14 doses are needed to achieve successful vaccination in such cases [61]. Since it is unclear which approach is appropriate, STIKO only names these alternatives without making a recommendation.

Given the epidemiological situation in Germany, STIKO infers from the evidence reviewed above that, in general, no further booster immunizations are needed after successful primary vaccination against hepatitis B in childhood or adulthood. This conclusion has also been drawn by other international public health agencies and expert panels. The World Health Organization (WHO) states that no evidence exists that booster immunizations are needed after routine vaccination against hepatitis B [49]. This position is shared by the US Centers for Disease Control and Prevention (CDC) [50], the Canadian National Advisory Committee on Immunization [62], the European Consensus Group on Hepatitis B Immunity [48], and the Viral Hepatitis Prevention Board [63].

A special situation exists in patients with humoral immune deficiency who show a rapid decline in anti-HBs levels [64, 65]. Annual testing of anti-HBs levels is recommended by STIKO in these patients (e.g., individuals on hemodialysis).

Furthermore, STIKO assumes that certain individuals in each indication group have a particularly high individual exposure risk, e.g., persons who are in permanent or repeated intimate contact with HBsAg carriers or to the mentioned groups. These persons are at a high cumulative risk of HBV infection, while waning of immunity cannot be completely ruled out. Therefore, following a conservative approach, STIKO recommends that these persons should be tested for anti-HBs after 10 years, followed by booster vaccination if anti-HBs is  $<100$  IU/l. Likewise, in case of postexposure prophylaxis, a duration of protection of 10 years after primary vaccination is assumed.

### Conclusion

Given the available evidence, STIKO has drawn the following conclusions which were considered in the revised recommendation:

- In addition to routine infant vaccination against hepatitis B, vaccination is recommended for three indication groups. For each of these, relevant examples are provided. However, they should serve as orientation, but are not intended as a definitive list of indications for vaccination against hepatitis B.
- Routine serological testing for hepatitis B prior to vaccination is not required.
- For all indication groups, serological testing of vaccination success is recommended, since only successful vaccination (defined as anti-HBs  $\geq 100$  IU/l) assures protection against hepatitis B. In cases of hepatitis B vaccination prior to travel, the need for anti-HBs testing after vaccination should be assessed individually.
- Further testing of anti-HBs and booster immunizations are generally not needed after successful primary vaccination.
- Exceptions to the latter are made in patients with humoral immune deficiency for whom yearly testing of anti-HBs is recommended (booster vaccination if anti-HBs  $<100$  IU/l), as well as in persons who are at particularly high individual exposure risk (anti-HBs testing after 10 years; booster vaccination if anti-HBs  $<100$  IU/l).
- For postexposure immune prophylaxis, duration of protection is assumed to last 10 years after successful vaccination.

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