

Prevention of Pneumococcal Disease: Recommendations of the Advisory Committee on Immunization Practices (ACIP)



Recommendations and Reports

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Summary

This report updates the last recommendations by the Advisory Committee on Immunization Practices (ACIP) concerning pneumococcal polysaccharide vaccine (MMWR 1989;38:64) used more extensively and administered to all persons in the following groups: a) persons aged greater than or equal to 65 years, b) immunocompetent persons aged greater than 0 years with chronic illness and death associated with pneumococcal disease because of chronic illness, c) persons aged greater than or equal to 2 years with functional or anatomic asplenia, d) person environments in which the risk for disease is high, and e) immunocompromised persons aged greater than or equal to 2 years who are at high risk for infection. This report contains i) resistance among pneumococci, b) vaccine effectiveness and cost-effectiveness, c) indications for vaccination, d) guidelines for revaccination, e) strategies for improving delivery of conjugate vaccine.

INTRODUCTION

Streptococcus pneumoniae (pneumococcus) is a bacterial pathogen that affects children and adults worldwide. It is a leading cause of illness in young children and causes illness as a result of certain underlying medical conditions. The organism colonizes the upper respiratory tract and can cause the following types of illnesses: a) disseminated invasive infections, including other lower respiratory tract infections; and c) upper respiratory tract infections, including otitis media and sinusitis. Each year in the United States, pneumococcal disease accounts for 15,000 cases of bacteremia, 500,000 cases of pneumonia, and 7 million cases of otitis media (1-4). The focus of this report is the prevention of invasive pneumococcal disease (i.e., bacteremia) through the use of pneumococcal polysaccharide vaccine. This vaccine protects against invasive bacteremic disease, although existing data suggest that it is less effective in preventing infections.

BACKGROUND Incidence of Invasive Disease

Severe pneumococcal infections result from dissemination of bacteria to the bloodstream and the central nervous system. Data from community-based studies indicate that overall a United States is an estimated 15-30 cases per 100,000 population; the rate is higher for persons aged greater than or equal to 65 years (50-83 cases per 100,000 population) and for persons aged less than 2 years (5-9 cases per 100,000 population) (5-9). In adults, 60%-87% of pneumococcal bacteremia is associated with pneumonia (10-12); in young children, the primary sites of infection are frequently the lungs and middle ear.

In the United States, the risk for acquiring bacteremia is lower among white persons than among persons in other racial/ethnic groups (i.e., blacks, Alaskan Natives, and American Indians). The overall incidence of bacteremia is approximately 49-58 cases per 100,000 population for whites (5-8). Rates of invasive pneumococcal disease are exceptionally high among Alaskan Native children aged less than 2 years (5-8), with rates of 156 cases per 100,000 population for children aged less than 2 years. The incidence of invasive pneumococcal infection among Alaskan Natives and Alaskan Native children aged less than 2 years was determined by a prospective surveillance study to be 156 cases per 100,000 population, respectively; rates for meningitis and bacteremic pneumonia are eightfold to tenfold higher for Alaskan Natives of all ages than for other U.S. population groups (13). The highest incidence of invasive pneumococcal infection is reported among specific American Indian groups (e.g., Apache) (14). The overall annual incidence for such groups is 156 cases per 100,000 population; the incidence for children aged less than 2 years is approximately 156 cases per 100,000 population.

In the United States, the estimated overall annual incidence of pneumococcal meningitis is one to two cases per 100,000 population (15). The incidence of pneumococcal meningitis is higher in persons aged greater than or equal to 65 years; rates for blacks are twice as high as those for whites and Hispanics. Because the incidence of Haemophilus influenzae type b (Hib) has decreased dramatically since the introduction of Hib conjugate vaccines, *S. pneumoniae* has become the most common cause of bacterial meningitis in the United States (CDC, unpublished data).

Other Pneumococcal Infections Lower Respiratory Tract Infections

S. pneumoniae is the most common cause of community-acquired bacterial pneumonia, occurring most frequently among the elderly and young children. The precise incidence of pneumonia is unknown because routine diagnostic tests are insufficiently specific and sensitive. Nonetheless, at least 500,000 cases of pneumococcal pneumonia are estimated to occur annually in the United States, accounting for approximately 25%-35% of cases of community-acquired bacterial pneumonia in persons who require hospitalization (16-19). Concomitant bacteremia occurs in approximately 10%-15% of patients with pneumonia (17,20).

Acute Otitis Media and Other Upper Respiratory Tract Infections

S. pneumoniae is a substantial cause of acute otitis media (AOM) and other upper respiratory tract infections (e.g., sinusitis). Although these types of infections usually do not progress to severe complications, they contribute significantly to morbidity and medical cost. In the United States, AOM results in more than 24 million visits to pediatricians per year (21); approximately 30%-50% of AOM infections are caused by *S. pneumoniae*. The incidence of AOM in children aged less than 4 years is approximately 25% per year. In the United States, 62% of children experience an episode of AOM during their first year of life, and nearly half have had three or more episodes of AOM by age 5 years (22).

Mortality

Pneumococcal infection causes an estimated 40,000 deaths annually in the United States (1,2,24), accounting for more deaths than any other vaccine-preventable bacterial disease. The case-fatality rate for pneumococcal infection is relatively low, with approximately 15% of persons with pneumococcal infection dying. Death from pneumococcal infection is relatively uncommon, except among those who a) have meningitis, b) are immunocompromised, or c) have undergone splenectomy and have received antibiotic therapy and intensive medical care. The overall case-fatality rate for pneumococcal bacteremia is 15%-20% among adults. Among elderly patients, this rate is approximately 36%. The case-fatality rate for pneumococcal meningitis is approximately 20%.

Risk Factors

Children aged less than 2 years and adults aged greater than or equal to 65 years are at increased risk for pneumococcal infection. Persons who have certain underlying medical conditions, such as immunodeficiencies, chronic diseases, and functional or anatomic asplenia, are at increased risk for pneumococcal infection or experiencing severe disease and complications. Adults at increased risk include those who are generally immunocompetent but who have chronic cardiovascular disease (e.g., chronic heart failure or cardiomyopathy), chronic pulmonary diseases (e.g., chronic obstructive pulmonary disease [COPD] or emphysema), or chronic liver diseases (e.g., cirrhosis). Diabetes mellitus often causes dysfunction of the immune system, which increases the risk for severe pneumococcal illness. The incidence of pneumococcal infection is increased for persons who have liver disease as a result of alcohol abuse, unless it occurs with chronic bronchitis, emphysema, or long-term use of systemic corticosteroids.

Persons with functional or anatomic asplenia (e.g., sickle cell disease or splenectomy) are at highest risk for pneumococcal infection, because this condition leads to reduced clearance of *S. pneumoniae* from the body. Children who have sickle cell disease or have had a splenectomy are at increased risk for fulminant pneumococcal sepsis associated with high mortality. Before the widespread use of pneumococcal conjugate vaccine, children with sickle cell disease were 600-fold more likely than children without this disease to develop pneumococcal meningitis (24).

The risk for pneumococcal infection is high for persons who have decreased responsiveness to polysaccharide antigens or increased rate of decline in serum antibody concentration (e.g., congenital immunodeficiency, human immunodeficiency virus [HIV] infection, leukemia, lymphoma, multiple myeloma, Hodgkin's disease, or generalized malignancy); b) organ transplant recipients, especially those who have received solid-organ transplants (31); or d) chronic renal failure or nephrotic syndrome (20,30). *S. pneumoniae* is the most commonly identified bacteria in persons with HIV infection (32). In children, invasive pneumococcal disease is often the first clinical manifestation of HIV infection. The annual attack rate of pneumococcal bacteremia is as high as 1% among persons with acquired immunodeficiency syndrome (AIDS) (33). As many as 91% of adults who have invasive pneumococcal infection have at least one of the previously mentioned risk factors. Recurrent pneumococcal meningitis may occur in patients who have chronic cerebrospinal fluid (CSF) leakage resulting from congenital lesion or traumatic brain injury.

A case-control study conducted in Finland identified day care center attendance among children aged less than 2 years as a major risk factor for invasive pneumococcal disease (34). The risk of invasive pneumococcal disease associated with day care center attendance was significantly higher (i.e., 26-fold) among children aged less than 2 years compared with those who did not attend day care.

Infection associated with day care center attendance was significantly higher (i.e., 30-fold) among children aged less than 2 years compared with those who did not attend day care, to 2 years (the age group in which pneumococcal polysaccharide vaccine could potentially prevent disease) was not significantly different from that for those who did not attend day care and have indicated that children aged less than 2 years who attend day care are at higher risk for infection than are those who do not (35). In addition, clusters of invasive pneumococcal disease have been reported to occur among children attending day care (36,37).

Antimicrobial Resistance

Strains of drug-resistant *S. pneumoniae* (DRSP) have become increasingly common in the United States and in other parts of the world (38,39). In some areas, as many as 35% of strains are resistant to penicillin (minimum inhibitory concentration [MIC]=0.1-1.0 µg/mL) or high-level (MIC greater than or equal to 2 µg/mL) resistance to penicillin (CDC, unpublished data;8,40,41). DRSP are also resistant to other antimicrobial drugs (e.g., erythromycin, trimethoprim-sulfamethoxazole, and extended-spectrum cephalosporins). High-level penicillin resistance and multidrug resistance are associated with increased risk of pneumococcal infection and make choosing empiric antimicrobial therapy for suspected cases of meningitis, pneumonia, and otitis media increasingly difficult (42). Treating patients with DRSP can result in the use of expensive alternative antimicrobial agents and may result in prolonged hospitalization and increased medical costs. The impact of antimicrobial resistance on mortality is less clear, but resistance further emphasizes the need for preventing pneumococcal infections by vaccination.

PNEUMOCOCCAL POLYSACCHARIDE VACCINE

The currently available pneumococcal vaccines, manufactured by both Merck and Company, Inc. (Pneumovax 23) and Lederle Laboratories (Pnu-Immune 23), include 23 purified capsular polysaccharides (serotypes 1, 2, 3, 4, 5, 6B, 7F, 8, 9N, 9V, 10A, 11A, 12F, 14, 15B, 17F, 18C, 19A, 19F, 20, 22F, 23F, and 33F). These vaccines were licensed in the United States in 1983 and replaced the 4-valent vaccine in 1977. One dose (0.5 mL) of the 23-valent vaccine contains 25 µg of each capsular polysaccharide antigen dissolved in isotonic saline solution with phenol (0.25%) or thimerosal (1 µg). The 23 capsular types in the vaccine represent at least 85%-90% of the serotypes that cause invasive pneumococcal infections among children and adults in the United States (43-45). The 23 capsular types that most frequently cause invasive drug-resistant pneumococcal infection in the United States are represented in the 23-valent vaccine (8,39).

Immunogenicity

Pneumococcal capsular polysaccharide antigens induce type-specific antibodies that enhance opsonization, phagocytosis, and killing of pneumococci by leukocytes and other phagocytic cells. The antibody response, indicated by a twofold or greater rise in serotype-specific antibody, develops within 2-3 weeks in greater than or equal to 80% of healthy young adults (46); however, among all 23 serotypes in the vaccine. The levels of antibodies that correlate with protection against pneumococcal disease have not been clearly defined.

Antibody responses also occur in the elderly and in patients who have alcoholic cirrhosis, COPD, and insulin-dependent diabetes mellitus (20,24,46); however, antibody concentrations are lower among such persons than among healthy young adults. Persons aged greater than or equal to 2 years with anatomic or functional asplenia (e.g., from splenectomy or sickle cell disease) have vaccination with antibody levels comparable with those observed in healthy persons of the same age (47).

In immunocompromised patients, antibody responses to pneumococcal vaccination are often diminished or absent. In patients with leukemia, lymphoma, or multiple myeloma, antibody response is substantially lower than response among patients who are immunocompetent. Patients who have chronic renal failure requiring dialysis, renal transplantation, or nephrotic syndrome require vaccination, resulting in lower antibody concentrations than those observed in healthy adults (24). In patients with Hodgkin's disease, the antibody response to pneumococcal vaccination, splenectomy, radiation, or chemotherapy; however, during chemotherapy, preexisting pneumococcal antibodies may decrease, and responses to pneumococcal vaccine may be diminished antibody response to pneumococcal vaccine (49,50). The reduction in titers of antibody corresponds to the degree of immunosuppression; some asymptomatic HIV-infected patients respond to the 23-valent polysaccharide vaccine (51). HIV-infected patients with CD4+ T-lymphocyte counts less than 500 cells/ μ L often have lower responses to vaccination than persons with higher CD4+ T-lymphocyte counts or persons who are not HIV-infected (52).

Bacterial capsular polysaccharides induce antibodies primarily by T-cell-independent mechanisms. Therefore, antibody response to most pneumococcal capsular types is generally years whose immune systems are immature. Age-specific immune responses also vary by serotype, and the response to some common pediatric pneumococcal serotypes (e.g., 6A years (53-55).

Duration of Antibody Levels

Levels of antibodies to most pneumococcal vaccine antigens remain elevated for at least 5 years in healthy adults. In some persons, antibody concentrations decrease to prevaccination levels (i.e., within 3-5 years after vaccination) in antibody concentrations may occur in certain children who have undergone splenectomy following trauma and in those who have sickle cell disease or hemophilia. Antibody concentrations also have declined after 5-10 years in elderly persons, persons who have undergone splenectomy, patients with renal failure, and persons who have received transplants (24,56,57,61-63). Low or rapidly declining antibody concentrations after vaccination also have been noted among patients with Hodgkin's disease (64) and measurements of antibodies do not account for the quality of the antibody being produced and the level of functional immune response. Tests measuring opsonophagocytic activity against pneumococcal antigens may ultimately be more relevant for evaluating response to pneumococcal vaccination (66).

Precautions and Contraindications

The safety of pneumococcal polysaccharide vaccine during the first trimester of pregnancy has not been evaluated, although no adverse consequences have been reported among women vaccinated during pregnancy. For additional information about precautions and contraindications, the vaccine manufacturer's package insert should be reviewed.

Side Effects and Adverse Reactions

Pneumococcal polysaccharide vaccine generally is considered safe based on clinical experience since 1977, when the pneumococcal polysaccharide vaccine was licensed in the United States. Most recipients receive pneumococcal vaccine develop mild, local side effects (e.g., pain at the injection site, erythema, and swelling). These reactions usually persist for less than 48 hours. Moderate or more severe local reactions (e.g., local induration) are rare. Intradermal administration may cause severe local reactions and is inappropriate. Severe systemic adverse effects (e.g., anaphylaxis) after administration of pneumococcal vaccine (20,24). In a recent meta-analysis of nine randomized controlled trials of pneumococcal vaccine efficacy, local reactions were observed in patients receiving the vaccine, and there were no reports of severe febrile or anaphylactic reactions (67). No neurologic disorders (e.g., Guillain-Barre syndrome) have been associated with the vaccine. Although preliminary data have suggested that the pneumococcal vaccine may cause transient increases in HIV replication (68), the importance of this occurrence is unknown. Pneumococcal polysaccharide vaccine is associated with death among vaccine recipients. Health-care providers should report suspected adverse events after administration of pneumococcal polysaccharide vaccine to the manufacturer by calling (800) 822-7967, a 24-hour, toll-free telephone number.

Vaccine Efficacy, Effectiveness, and Cost-Effectiveness

Several clinical trials have been conducted evaluating the efficacy of vaccine against pneumonia and pneumococcal bacteraemia. In addition, multiple case-control and serotype prevalence studies have assessed pneumococcal vaccine effectiveness against invasive disease ([Table 1](#)) (44,69-80).

Efficacy Against Nonbacteremic Pneumococcal Disease

Prelicensure randomized controlled trials (RCTs) of pneumococcal vaccine efficacy were conducted in the 1970s among young, healthy gold miners in South Africa who had high rates of multivalent polysaccharide vaccine significantly reduced the occurrence of radiographically diagnosed pneumonia in this group (71,72). In non-epidemic situations in the United States the elderly or in persons with chronic medical conditions, vaccine efficacy for nonbacteremic pneumonia was not demonstrated for these populations in two postlicensure RCTs; concordant studies may have lacked sufficient statistical power to detect a difference in the incidence of laboratory-confirmed, nonbacteremic pneumococcal pneumonia between the vaccinees. Analysis evaluating pneumococcal vaccine efficacy by combining the results of nine randomized, controlled trials also did not demonstrate a protective effect for nonbacteremic pneumonia. The pneumococcal vaccine efficacy in these studies is limited because of the lack of specific and sensitive diagnostic tests for nonbacteremic pneumococcal pneumonia. The pneumococcal prevention of common upper respiratory diseases (e.g., sinusitis or AOM) in children (82).

Effectiveness Against Invasive Disease

Effectiveness in case-control studies generally has ranged from 56% to 81% (75,78-80). Only one case-control study did not document effectiveness against bacteremic disease (77) small sample size and incomplete ascertainment of vaccination status of patients. In addition, case-patients and persons who served as controls may not have been comparable regarding conditions, potentially creating a biased underestimate of vaccine effectiveness (81).

One serotype prevalence study based on CDC's pneumococcal surveillance system demonstrated a 57% (95% confidence interval [CI]=45%-66%) overall protective effectiveness against pneumococcal disease among persons aged 6 years and older (44). Vaccine effectiveness of 65%-84% also was demonstrated among specific patient groups (e.g., persons with vascular disease, congestive heart failure, chronic pulmonary disease, and anatomic asplenia). Effectiveness in immunocompetent persons aged greater than or equal to 65 years was not confirmed for certain groups of immunocompromised patients (e.g., those with sickle cell disease, chronic renal failure, immunoglobulin deficiency, Hodgkins disease, non-Hodgkins lymphoma, and multiple myeloma). However, this study could not accurately measure effectiveness in each of these groups because of the minimal numbers of unvaccinated patients with these illnesses. In adults aged 2-25 years who had sickle cell disease or who had undergone splenectomy experienced significantly less bacteremic pneumococcal disease than patients who were not vaccinated. A randomized controlled trial of pneumococcal vaccine concluded that pneumococcal vaccine is efficacious in reducing the frequency of bacteremic pneumococcal pneumonia among vaccinees (79).

Cost-Effectiveness

Preliminary results of a cost-effectiveness analysis indicate that pneumococcal polysaccharide vaccine is cost-effective and potentially cost-saving among persons aged greater than (83). The vaccine compares favorably with other standard preventive practices.

VACCINE ADMINISTRATION

Pneumococcal vaccine is administered intramuscularly or subcutaneously as one 0.5-mL dose. Pneumococcal vaccine may be administered at the same time as influenza vaccine (or increase in side effects or decreased antibody response to either vaccine (62,84). Pneumococcal vaccine also may be administered concurrently with other vaccines. The administration of diphtheria, tetanus, and pertussis (DTP); poliovirus; or other vaccines does not increase the severity of reactions or diminish antibody responses (85).

RECOMMENDATIONS FOR VACCINE USE Immunocompetent Persons

The vaccine is both cost effective and protective against invasive pneumococcal infection when administered to immunocompetent persons aged greater than or equal to 2 years. T1 should receive the 23-valent pneumococcal polysaccharide vaccine ([Table 2](#)). If earlier vaccination status is unknown, persons in these categories should be administered pneumococcal vaccine.

Persons Aged greater than or equal to 65 Years

All persons in this category should receive the pneumococcal vaccine, including previously unvaccinated persons and persons who have not received vaccine within 5 years (and were vaccinated). All persons who have unknown vaccination status should receive one dose of vaccine ([Figure 1](#)).

Persons Aged 2-64 Years Who Have Chronic Illness

Persons aged 2-64 years who are at increased risk for pneumococcal disease or its complications if they become infected should be vaccinated. Persons at increased risk for severe chronic cardiovascular disease (e.g., congestive heart failure [CHF] or cardiomyopathies), chronic pulmonary disease (e.g., COPD or emphysema, but not asthma), diabetes mellitus, or CSF leaks.

Persons aged 50-64 years commonly have chronic illness, and 12% have pulmonary risk factors for invasive pneumococcal disease. Therefore, persons in this age group who have chronic illness should have their overall vaccination status reviewed to determine whether they have risk factors that indicate a need for pneumococcal vaccination (87). Vaccination should occur during the adolescent immunization visit at 11-12 years of age (88).

Persons Aged 2-64 Years Who Have Functional or Anatomic Asplenia

Persons aged 2-64 years who have functional or anatomic asplenia (e.g., sickle cell disease or splenectomy) also should be vaccinated. Persons with such a condition should be informed about the potential for protection against fulminant pneumococcal disease, for which the case-fatality rate is 50%-80%. Asplenic patients with unexplained fever or manifestations of sepsis should receive empiric antibiotic treatment for suspected bacteremia. Chemoprophylaxis also should be considered in these patients (see Other Methods of Prevention). When elective splenectomy is being planned, vaccination should occur at least 2 weeks before surgery.

Persons Aged 2-64 Years Who Are Living in Special Environments or Social Settings

Persons aged 2-64 years who are living in environments or social settings in which the risk for invasive pneumococcal disease or its complications is increased (e.g., Alaskan Native Americans) should be vaccinated. In addition, because of recently reported outbreaks of pneumococcal disease (89), vaccination status should be assessed for residents of nursing homes and other long-term care facilities.

Available data do not support routine pneumococcal vaccination of healthy children attending day care facilities. Recurrent upper respiratory tract diseases, including otitis media and sinusitis, are more common in children who have not received pneumococcal vaccine.

Immunocompromised Persons

Persons who have conditions associated with decreased immunologic function that increase the risk for severe pneumococcal disease or its complications should be vaccinated. Although immunocompromised patients as it is for immunocompetent persons, the potential benefits and safety of the vaccine justify its use.

The vaccine is recommended for persons in the following groups: immunocompromised persons aged greater than or equal to 2 years, including persons with HIV infection, leukemia, lymphoma, generalized malignancy, chronic renal failure, nephrotic syndrome, or other conditions associated with immunosuppression (e.g., organ or bone marrow transplantation); and persons with functional or anatomic asplenia (e.g., sickle cell disease or splenectomy). If earlier vaccination status is unknown, immunocompromised persons should be administered pneumococcal vaccine.

Persons with asymptomatic or symptomatic HIV infection should be vaccinated as soon as possible after their diagnosis is confirmed. Plasma HIV levels have been found to be transiently elevated during the course of infection (68); other studies have not demonstrated such an elevation (90). However, no adverse effects of pneumococcal vaccination on patient survival have been detected (69). If immunosuppressive therapy is being considered (e.g., for patients with Hodgkin's disease or those who undergo organ or bone marrow transplantation), the interval between vaccination and initiation of therapy should be at least 2 weeks. Vaccination during chemotherapy or radiation therapy should be avoided.

REVACCINATION Duration of Immunity

Following pneumococcal vaccination, serotype-specific antibody levels decline after 5-10 years and decrease more rapidly in some groups than others (56,57,61-63), which suggest continued protection. However, data concerning serologic correlates of protection are not conclusive, which limits the ability to precisely define indications for revaccination based on currently available pneumococcal vaccine. The overall increase in antibody levels among elderly persons has been determined to be lower after revaccination than following primary vaccination (92). Long-term follow-up data on persons who have been revaccinated are not yet available.

Data from one epidemiologic study have suggested that vaccination may provide protection for at least 9 years after receipt of the initial dose (44). Decreasing estimates of effectiveness, particularly among the very elderly (i.e., persons aged greater than or equal to 85 years), have been reported (79).

Adverse Reactions Following Revaccination

Early studies have indicated that local reactions (i.e., arthus-type reactions) among adults receiving the second dose of 14-valent vaccine within 2 years after the first dose are more common (20,93). However, subsequent studies have suggested that revaccination after intervals of greater than or equal to 4 years is not associated with an increased incidence of adverse events. The rate of adverse reactions may occur following a second dose of pneumococcal vaccine, the rate of adverse reactions is no greater than the rate after the first dose. An evaluation of 1,000 elderly persons who received two doses of pneumococcal vaccine indicated that they were not significantly more likely to be hospitalized in the 30 days after vaccination than were the approximately 66,000 persons who received one dose. These data allow estimates of adverse reaction rates among persons who received more than two doses of pneumococcal vaccine.

Indications for Revaccination

Routine revaccination of immunocompetent persons previously vaccinated with 23-valent polysaccharide vaccine is not recommended. However, revaccination once is recommended for persons who are at highest risk for serious pneumococcal infection and those who are likely to have a rapid decline in pneumococcal antibody levels, provided that 5 years have elapsed since the previous dose. Revaccination 3 years after the previous dose may be considered for children at highest risk for severe pneumococcal infection who would be aged less than or equal to 10 years at the time of vaccination. These include persons with functional or anatomic asplenia (e.g., sickle cell disease or splenectomy) and those with conditions associated with rapid antibody decline after initial vaccination (e.g., neutropenia, immunosuppressive chemotherapy, or organ or bone marrow transplantation). Revaccination is contraindicated for persons who had a severe reaction (e.g., anaphylactic reaction or localized arthus-type reaction) to the initial dose they received.

Persons at highest risk and those most likely to have rapid declines in antibody levels include persons with functional or anatomic asplenia (e.g., sickle cell disease or splenectomy), neutropenia, multiple myeloma, generalized malignancy, chronic renal failure, nephrotic syndrome, or other conditions associated with immunosuppression (e.g., organ or bone marrow transplantation). If vaccination status is unknown, patients in these categories should be administered pneumococcal vaccine.

Persons aged greater than or equal to 65 years should be administered a second dose of vaccine if they received the vaccine greater than or equal to 5 years previously and were a candidate for revaccination. Elderly persons with unknown vaccination status should be administered one dose of vaccine ([Figure 1](#)).

The need for subsequent doses of pneumococcal vaccine is unclear and will be assessed when additional data become available. Because data are insufficient concerning the safety of revaccination three or more times, revaccination following a second dose is not routinely recommended.

Persons with Uncertain Vaccination Status

To help avoid the administration of unnecessary doses, every patient should be given a record of the vaccination. However, providers should not withhold vaccination in the absence of a vaccination record. The patient's verbal history should be used to determine prior vaccination status. When indicated, vaccine should be administered to patients who are uncertain about their vaccination status.

OTHER METHODS OF PREVENTION Chemoprophylaxis

Oral penicillin V (125 mg, twice daily), when administered to infants and young children with sickle cell disease, has reduced the incidence of pneumococcal bacteraemia by 84% compared with placebo. Daily oral penicillin prophylaxis for children with sickle cell hemoglobinopathy is recommended beginning before 4 months of age. Consensus on the age at which prophylaxis should begin is not clear. Children with sickle cell anemia who had received prophylactic penicillin for prolonged intervals (but who had not had a prior severe pneumococcal infection or a splenectomy) have had an increased incidence of pneumococcal bacteraemia or meningitis (98).

Oral penicillin G or V is recommended for prevention of pneumococcal disease in children with functional or anatomic asplenia (85). Antimicrobial prophylaxis against pneumococcal disease is recommended for children not likely to respond to the polysaccharide vaccine (e.g., those aged less than 2 years or those receiving intensive chemotherapy or cytoreduction therapy). However, the im-

pneumoniae on the effectiveness of antimicrobial prophylaxis is not known.

Passive Immunization

Intramuscular or intravenous immunoglobulin administration may be useful for preventing pneumococcal infection in children with congenital or acquired immunodeficiency diseases recurrent, serious bacterial infections (i.e., two or more serious bacterial infections {e.g., bacteremia, meningitis, or pneumonia} in a 1-year period) (85,99). Data are inadequate to evaluate administration in the prevention of pneumococcal disease among HIV-infected adults.

STRATEGIES FOR IMPLEMENTING RECOMMENDATIONS FOR THE USE OF VACCINE

The use of pneumococcal polysaccharide vaccine consistently has been recommended by ACIP (20,100), the American Academy of Pediatrics (85), the American College of Physicians. In addition, Medicare has provided a payment for pneumococcal vaccine since 1981 and a specific billing code (i.e., G009) for its administration since 1994. Roster billin August 1996. Hospitals may receive a separate payment for pneumococcal vaccination of Medicare beneficiaries independent of reimbursement based on prospective payment syst Despite these factors, the vaccine remains underutilized.

Pneumococcal vaccine is recommended for approximately 31 million persons aged greater than or equal to 65 years and approximately 23 million persons aged less than 65 years (National Immunization Survey, 1985). The year 2000 objectives of the Public Health Service call for vaccinating at least 60% of persons at risk for influenza and pneumococcal disease (and 101). Most persons considered at risk for pneumococcal infection also should receive annual influenza vaccinations. However, as of 1993, only 28% of persons aged greater than or equal to 65 years received pneumococcal vaccine. This percentage is considerably lower than the reported annual influenza vaccination rates (52%) for the same population (102).

Barriers to achieving high pneumococcal vaccination levels among adults include a) missed opportunities to vaccinate adults during contacts with health-care providers in offices, ou delivery systems in the public and private sectors that can reach adults in different settings (e.g., health-care, workplace, and college or university settings); c) patient and provider fe vaccination; and d) lack of awareness among both patients and providers of the seriousness of pneumococcal disease and benefits of pneumococcal vaccination (2,103). Because of the incidence of bacteremia, the use of vaccine must be increased in accordance with recommendations.

Age-Based Strategies

Persons aged 50-64 years commonly have chronic illness, and 12% have pulmonary conditions that place them at increased risk for pneumococcal disease (86). However, less than half have received pneumococcal vaccine. A specific age-based standard should improve vaccination rates among persons with high-risk conditions. Therefore, age 50 years has been established as the immunization status of patients; risk factors that indicate the need to administer pneumococcal vaccine should be evaluated at this visit (86,87). Vaccination status also should be assessed at age 11-12 years (88). This visit provides an opportunity to review the need for pneumococcal vaccine; adolescents with high-risk conditions should be vaccinated.

Organizational Strategies

Organizational strategies (e.g., standing orders {rather than requiring a physician's order} for pneumococcal vaccination of high-risk patients who are eligible to receive vaccine) are associated with higher pneumococcal vaccination rates among persons at high risk (104). In a New York hospital, instituting standing orders for pneumococcal vaccination of the elderly and at-risk patients resulted in an increase from zero to 78% (105). Similar increases were achieved for influenza vaccination in community hospitals in Minnesota (106). The Health Care Financing Administration recently has approved standing orders to administer pneumococcal vaccine to Medicare patients (103). Pneumococcal vaccination also should be routinely provided for residents of nursing homes and other long-term care facilities.

High vaccination coverage rates can be achieved when pneumococcal vaccination programs are targeted to hospitalized patients at high risk (104). A hospital-based immunization program for patients most likely to develop pneumococcal disease (106-109). Two thirds of persons with serious pneumococcal disease had been hospitalized within the previous 4 years before receiving pneumococcal vaccine (109). Among these patients, 87% had one or more high-risk conditions. Administration of pneumococcal vaccine should be included in routine clinical practice and administered before discharge to hospitalized patients to prevent subsequent admissions for pneumococcal disease. Eligible patients in high-risk groups can be identified by physicians and clinical pharmacists.

Community-Based Vaccination Programs

Vaccination coverage rates increase when public health departments promote and offer the vaccine. A community-based immunization program implemented in public health jurisdictions resulted in a 33% higher rate of pneumococcal vaccination than jurisdictions without such immunization programs (110). This program included interventions such as a) pre-enrollment health-department-sponsored outreach clinics, health-center clinics, and nursing and convalescent homes and b) promoting pneumococcal vaccine through leaflets, posters, and other materials for vaccination. Because rates of pneumococcal disease are high among blacks, particularly those of lower socioeconomic status, community outreach programs that are focused or effective in preventing life-threatening pneumococcal disease among persons in these groups.

A community-based pneumococcal vaccine campaign was conducted as part of the Hawaii Pneumococcal Disease Initiative, which employed public and private sector partnerships to improve vaccination levels among persons aged greater than or equal to 65 years (111). This public vaccination program was considered cost-effective for vaccinating substantial numbers of persons aged 65 years and older among private health-care providers.

Provider-Based Strategies

Provider-based strategies that have proved effective in increasing adult vaccination rates include practice-based tracking systems and physician reminder systems. In practice-based tracking systems, physicians keep track of the number of their patients who are at risk and maintain rosters showing the proportion of patients who receive vaccination. Physicians using such a tracking system have administered more than 50% of the recommended pneumococcal vaccine doses (112).

Physician reminder systems consisting of charts, computers, or preventive-health checklists remind physicians to review the need for pneumococcal vaccine for each patient and to administer the vaccine. Staff in physicians' offices, clinics, health maintenance organizations, and employee health clinics can be instructed to identify and label the medical records of preventive-health checklists has increased pneumococcal vaccination rates fourfold (113) and from 5% to 42% (114). In one hospital, implementation of a computer reminder system to track pneumococcal vaccination status before discharge increased pneumococcal vaccination rates from less than 4% to 45% (115).

Health-care providers in facilities providing episodic or acute care (e.g., emergency rooms and walk-in clinics) should be familiar with pneumococcal vaccine recommendations. They should provide written information concerning why, where, and how to obtain the vaccine.

Simultaneous Administration of Pneumococcal and Influenza Vaccines

Because the indications for pneumococcal and influenza vaccines are similar, the time of administration of influenza vaccine -- including mass vaccination at outpatient clinics -- should be the same as the time of administration of pneumococcal vaccine. However, influenza vaccine is administered each year, whereas pneumococcal vaccine typically is administered only once for persons aged 65 years and older.

CONJUGATE VACCINE DEVELOPMENT

Additional immunogenic pneumococcal vaccines that provide long-term immunity are needed -- especially for children aged less than 2 years, because incidence of disease is highest in this age group. The most promising approach is the development of a protein-polysaccharide conjugate vaccine for selected serotypes, which improves the efficacy of pneumococcal vaccination -- especially in young children. Immune response to many capsular polysaccharides can be improved by covalent coupling of the polysaccharide to a protein carrier. Conjugate vaccine development has focused on the serotypes most commonly causing infections in childhood. Candidate vaccine formulations in development and evaluation phase include serotypes 4, 6B, 9V, 14, 18C, 19F, and 23F. These serotypes could potentially prevent 86% of bacteremia, 83% of meningitis, and 65% of otitis media cases among children aged less than 6 years in the United States (45). In persons aged 2-5 years, these serotypes have accounted for 50% of the cerebrospinal fluid and blood isolates (44). Preliminary results obtained in phase I and phase II studies suggest that these vaccines generally are safe and effective. Multicenter trials to evaluate conjugate vaccine efficacy against acute pneumococcal otitis media and invasive pneumococcal disease are currently underway.

The polysaccharide vaccine has not reduced nasopharyngeal carriage of *S. pneumoniae* among children (122). However, preliminary data suggest that conjugate vaccines may reduce carriage of some serotypes included in the vaccine (123). Reduction in carriage rates of *S. pneumoniae* would potentially increase the overall impact of the vaccine by reducing transmission and, consequently, the incidence of disease. Randomized trials are required to demonstrate the protective efficacy of conjugate vaccines against invasive pneumococcal infections. These vaccines also should be evaluated for use in immunocompromised adults who respond poorly to the current 23-valent polysaccharide vaccine.

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Table 1

Note: To print large tables and graphs users may have to change their printer settings to landscape and use a small font size.

TABLE 1. Studies of pneumococcal vaccine efficacy and effectiveness

Study, publication * (95% year (reference) interval) +	% Efficacy or Population studied	Study design	Type of pneumococcal infection studied
MacLeod, 1945 (69) (79-100)	Young U. S. military recruits	Clinical trial: 4-valent vaccine	Pneumonia
Kaufman, 1947 (70) 98) (45-100)	Long-term-care facility residents (80% were aged >60 years) in New York City	Clinical trial: 3-valent vaccine 3-valent vaccine	Pneumonia Bacteremia
Austrian, 1976 (71) (65- 88) (66- 92)	Young adult gold miners in South Africa	Clinical trial: 13-valent vaccine 13-valent vaccine	Pneumonia Bacteremia
Smit, 1977 (72) (52- 89) (49-100)	Young adult gold miners in South Africa	Clinical trial: 6-valent vaccine 12-valent vaccine	Pneumonia Pneumonia
Riley, 1977 (73) 99)	Persons aged >10 years in Southern Highlands Province, Papua, New Guinea	Clinical trial: 14-valent vaccine	Bacteremic pneumonia
Austrian, unpublished & (74) 52) (<0-100)	Outpatients aged >45 years in San Francisco	Clinical trial: 12-valent vaccine 12-valent vaccine	Pneumonia Bacteremia
Shapiro, 1984 (75) 87)	Patients admitted to Yale-New Haven Hospital	Case-control	Invasive infection @
Simberkoff, 1986 (76) 45)	Veterans at risk for pneumococcal infection because of chronic, underlying medical conditions	Clinical trial: 14-valent vaccine	Pneumonia/bronchitis
Forrester, 1987 (77) 35) 55)	Patients admitted to Denver Veterans Administration Medical Center Patients with pneumococcal bacteremia at Denver Veterans Administration Medical Center	Case-control Indirect cohort	Bacteremia Bacteremia
Sims, 1988 (78) 86)	Patients admitted to one of five	Case-control	Invasive infection @

participating hospitals in eastern Pennsylvania

Shapiro, 1991 (79)	Patients admitted to one of 11 participating hospitals in Connecticut	Case-control	Invasive infection @ All patients
67)	Immunocompromised patients ** 21 (<0- 60) Immunocompetent patients ++ 61 (47- 72) Persons aged 65-74 years 80 && (51- 92)		
72)	Patients with invasive pneumococcal infection @ at participating hospitals in Connecticut Immunocompetent patients ++ 62 (24- 81)	Indirect cohort	Invasive infection @ All patients
Butler, 1993 (44)	Patients with pneumococcal bacteremia meningitis at institutions	Indirect cohort	Bacteremia and/or mening All patients
66)			Immunocompromised patient
67)	participating in national pneumococcal surveillance		Immunocompetent patients
65)	Persons aged >=65 years +++) 75 (57- 85)		
Farr, 1995 (80) 94)	Patients aged >= 2 years with pneumococcal bacteremia and chronic illness or those aged >= 65 years	Case-control	Bacteremia

* For prevention of infection caused by pneumococcal serotypes included in the vaccine.

+ If not provided in the published report, 95% confidence intervals were calculated by using Epi-Info version 5.01a (CDC/World Health Atlanta, GA).

& Unpublished study summarized in reference 74.

@ S. pneumoniae recovered from a normally sterile body site.

** Included persons with anatomic or functional asplenia, dysgammaglobulinemia, hematologic malignancy, metastatic cancer, or systemic erythema.

++ Included persons with chronic pulmonary disease, alcoholism, diabetes mellitus, chronic renal failure, or congestive heart failure >=55 years without underlying illness.

&& Efficacy during first 3 years after vaccination.

@@ Included persons with sickle cell disease, anatomic asplenia, dysgammaglobulinemia, hematologic malignancy, chronic renal failure syndrome, history of organ transplant, and systemic lupus erythematosus.

*** Included persons aged >=6 years with chronic obstructive pulmonary disease, asthma, alcoholism, diabetes mellitus, coronary vascular congestive heart failure, or cirrhosis and persons aged >=65 years without underlying illness.

+++ Included persons aged >= 65 years with no underlying illness or those with coronary vascular disease, congestive heart failure, or pulmonary disease, asthma, or diabetes mellitus.

[Return to top.](#)

Table 2

Note: To print large tables and graphs users may have to change their printer settings to landscape and use a small font size.

TABLE 2. Recommendations for the use of pneumococcal vaccine

Groups for which vaccination is recommended	Strength of recommendation *	Revaccination +
Immunocompetent persons &		
Persons aged >=65 years	A	Second dose of vaccine if patient received vaccine >=5 years previously and were aged <65 years at the time of vaccination.
Persons aged 2-64 years with chronic cardiovascular disease, @ chronic pulmonary disease, ** or diabetes mellitus	A	Not recommended.
Persons aged 2-64 years with alcoholism, chronic liver disease, ++ or cerebrospinal fluid leaks	B	Not recommended.
Persons aged 2-64 years with functional or anatomic asplenia &&	A	If patient is aged >10 years: single revaccination >=5 years after previous dose. If patient is aged <=10 years: consider revaccination 3 years after previous dose.
Persons aged 2-64 years living in special environments or social settings @@	C	Not recommended.
Immunocompromised persons &		
Immunocompromised persons aged >=2 years, including those with HIV infection, leukemia, lymphoma, Hodgkin's disease, multiple myeloma, generalized malignancy, chronic renal failure, or nephrotic syndrome; those receiving immunosuppressive chemotherapy (including corticosteroids); and	C	Single revaccination if >=5 years have elapsed since receipt of first dose. If patient is aged <=10 years: consider revaccination 3 years after previous dose.

those who have received an organ or bone marrow transplant.

* The following categories reflect the strength of evidence supporting the recommendations for vaccination:

A=Strong epidemiologic evidence and substantial clinical benefit support the recommendation for vaccine use.

B=Moderate evidence supports the recommendation for vaccine use.

C=Effectiveness of vaccination is not proven, but the high risk for disease and the potential benefits and safety of the vaccine justify vaccination.

+ Strength of evidence for all revaccination recommendations is "C."

& If earlier vaccination status is unknown, patients in this group should be administered pneumococcal vaccine.

@ Including congestive heart failure and cardiomyopathies.

** Including chronic obstructive pulmonary disease and emphysema.

++ Including cirrhosis.

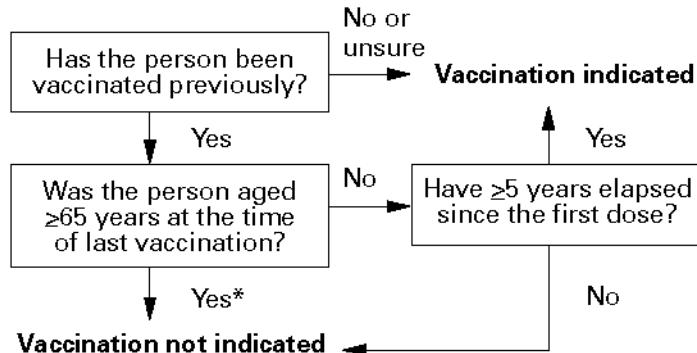
@@ Including sickle cell disease and splenectomy.

@@ Including Alaskan Natives and certain American Indian populations.

[Return to top.](#)

[Figure_1](#)

FIGURE 1. Algorithm for vaccinating persons aged ≥ 65 years



*Note: For any person who has received a dose of pneumococcal vaccine at age ≥ 65 years, revaccination is not indicated.

[Return to top.](#)

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