Table 1: Effectiveness and impact of different dosing schedules of WHO prequalified Pneumococcal Conjugate Vaccine (PCV) products

Population:

Vaccinated children; unvaccinated older children and adults Interventions Compared:

2 primary doses before 6 months of age and 1 booster dose at 9 months of age or later (2p+1) in infants <2 years of age with WHO prequalified PCV products

VS:

3 primary doses before 9 months of age without a booster dose (3p+0) in infants <2 years of age with WHO prequalified PCV products **Outcomes:**

IgG response – mean GMC and percent responders in immunized infants for vaccine- serotypes (VT)

Mortality – vaccine effectiveness and/or change in mortality rates, pre/post vaccination, for all-cause mortality, pneumonia mortality, and IPD mortality for directly immunized and unimmunized populations through indirect effects; change in case fatality ratios, pre/post vaccination, for pneumonia and IPD.

Invasive Pneumococcal Disease (IPD) – vaccine effectiveness and/or change in incidence of VT or serotype specific IPD pre/post vaccination among directly immunized and unimmunized populations through indirect effects.

Pneumonia - vaccine effectiveness and/or change in incidence, pre/post vaccination, of either clinical pneumonia or chest x-ray (CXR)

confirmed pneumonia among directly immunized and unimmunized populations through indirect effects Carriage – vaccine effectiveness and/or change in incidence, pre/post vaccination, of vaccine type or serotype specific pneumococcal carriage

among directly immunized and unimmunized populations through indirect effects

PICO Question: How does PCV administered to healthy children in a 2p+1 schedule compare with the vaccine administered in a 3p+0 schedule, with respect to immune response in vaccinated children and impact on clinical outcomes (IPD, pneumonia, and mortality), and nasopharyngeal carriage in the vaccinated children as well as unvaccinated age groups through indirect protection?

1			Rating	Adjustment to rating
	No of studies/starting rating		55 out of 74 studies included are RCTs: rating 4	4
÷	Factors	Limitation in study design	None serious	0
ssmen		Inconsisten cy	None serious	0
Quality Assessment	decreasing confidence	Indirectness	Serious ¹	- 1
uality		Imprecision	None serious	0
		Publication bias	None serious	0
actors	Factors increasing confidence	Strength of association	None serious	0
nent F		Dose- response	None serious	0
Quality Assessment Factors		Mitigated bias and confounding	None serious	0
Quality /	Final numerical rating of quality of evidence			3
ary of gs	Statement on quality of evidence			Evidence supports a moderate level of confidence that the true effect lies close to that of the estimate of the effect on the health outcome.
Summary Findings	Conclusion			We are moderately confident that a 2+1 dosing schedule elicits a comparable immune response compared to a 3+0 dosing schedule in the general population.

¹Most studies are not head-to-head comparisons of different dosing schedules so the evidence is indirect and inter-study comparisons were the primary source of information. Studies included in the post primary analysis were:

- 10 studies with head to head 2p vs. 3p data: rating 4

- 50 studies with 2p or 3p data: rating 3

Studies included in the post dose 3 analysis were:

- 4 studies with head to head 2p+1 vs. 3p+0: rating 4

- 55 studies with 2p+1 or 3p+0 data: rating 3

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Table 2: Effectiveness and impact of PCV10 or PCV13 (WHO prequalified PCV products) in currently recommended dosing schedules

Population:

Vaccinated children; unvaccinated older children and adults.

Interventions Compared:

PCV10 administration in infants <2 years of age using either WHO recommended dosing schedules (2p+1 or 3p+0)

VS:

PCV13 administration in infants <2 years of age using either WHO recommended dosing schedules (2p+1 or 3p+0)

Outcomes:

IgG response – mean GMC and percent responders in immunized infants for vaccine- serotypes (VT)

Mortality – vaccine effectiveness and/or change in mortality rates, pre/post vaccination, for all-cause mortality, pneumonia mortality, and IPD mortality for directly immunized and unimmunized populations through indirect effects; change in case fatality ratios, pre/post vaccination, for pneumonia and IPD.

Invasive Pneumococcal Disease (IPD) – vaccine effectiveness and/or change in incidence of VT or serotype specific IPD pre/post vaccination among directly immunized and unimmunized populations through indirect effects.

Pneumonia - vaccine effectiveness and/or change in incidence, pre/post vaccination, of either clinical pneumonia or chest x-ray (CXR)

confirmed pneumonia among directly immunized and unimmunized populations through indirect effects Carriage – vaccine effectiveness and/or change in incidence, pre/post vaccination, of vaccine type or serotype specific pneumococcal carriage

among directly immunized and unimmunized populations through indirect effects

PICO Question: Is the impact or effectiveness of PCV10 and PCV13 (using either WHO recommended dosing schedules) different, based on data reporting immune response following vaccination, and impact on NP Carriage and clinical outcomes (IPD, pneumonia and mortality) in vaccinated children as well as unvaccinated age groups through indirect protection?

	Rating			Adjustment to rating
	No of studies/starting rating		55 out of 74 included studies are RCTs: rating 4	4
	Factors decreasing confidence	Limitation in study design	None serious	0
sment		Inconsisten cy	None serious	0
ssess		Indirectness	Serious ¹	- 1
lity As		Imprecision	None serious	0
rsQua		Publication bias	None serious	0
Facto	Factors increasing confidence	Strength of association	None serious	0
ment		Dose- response	None serious	0
Quality Assesssment FactorsQuality Assesssment		Mitigated bias and confounding	None serious	0
Quality ,	Final numerical rating of quality of evidence			3
ıary of ıgs	Statement on quality of evidence			Evidence supports a moderate level of confidence that the true effect lies close to that of the estimate of the effect on the health outcome.
Summary Findings	Conclusion			We are moderately confident that PCV10 elicits a comparable immune response to PCV13 for the shared serotypes in the general population.

¹Most studies are not head-to-head comparisons of PCV10 and PCV13 so the evidence is indirect and inter-study comparisons were the primary source of information. Studies included in the product choice analysis were:

- 5 studies with head to head PCV10 vs PCV13 data: rating 4

- 69 studies with non head-to-head data for PCV10 or PCV13: rating 3

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Table 1: Effectiveness and impact of different dosing schedules of WHO prequalified Pneumococcal Conjugate Vaccine (PCV) products

Population:

Vaccinated children; unvaccinated older children and adults

Interventions Compared:

2 primary doses before 6 months of age and 1 booster dose at 9 months of age or later (2p+1) in infants <2 years of age with WHO prequalified PCV products

VS.

3 primary doses before 9 months of age without a booster dose (3p+0) in infants <2 years of age with WHO prequalified PCV products **Outcomes:**

IgG response - mean GMC and percent responders in immunized infants for vaccine- serotypes (VT)

Mortality – vaccine effectiveness and/or change in mortality rates, pre/post vaccination, for all-cause mortality, pneumonia mortality, and IPD mortality for directly immunized and unimmunized populations through indirect effects; change in case fatality ratios, pre/post vaccination, for pneumonia and IPD.

Invasive Pneumococcal Disease (IPD) – vaccine effectiveness and/or change in incidence of VT or serotype specific IPD pre/post vaccination among directly immunized and unimmunized populations through indirect effects.

Pneumonia – vaccine effectiveness and/or change in incidence, pre/post vaccination, of either clinical pneumonia or chest x-ray (CXR) confirmed pneumonia among directly immunized and unimmunized populations through indirect effects

Carriage – vaccine effectiveness and/or change in incidence, pre/post vaccination, of vaccine type or serotype specific pneumococcal carriage among directly immunized and unimmunized populations through indirect effects

PICO Question: How does PCV administered to healthy children in a 2p+1 schedule compare with the vaccine administered in a 3p+0 schedule, with respect to immune response in vaccinated children and impact on clinical outcomes (IPD, pneumonia, and mortality), and nasopharyngeal carriage in the vaccinated children as well as unvaccinated age groups through indirect protection?

	Rating			Adjustment to rating
	No of studies/starting rating		41 observational studies	2
		Limitation in study design	None serious	0
sment	Factors decreasing confidence	Inconsisten cy	None serious	0
ssess		Indirectness	Serious ¹	-1
llity As		Imprecision	Serious ²	-1
rsQua	Factors	Publication bias	Not assessed	0
Facto		Strength of association	None serious ³	0
ment		Dose- response	Not applicable	0
Assesss	confidence	Mitigated bias and confounding	Not applicable	0
Quality Assesssment FactorsQuality Assesssment	Final numerical rating of quality of evidence			1
of	Statement on quality of evidence			Evidence supports a very low level of confidence that the true effect lies close to that of the estimate of the effect on the health outcome.
Summary Findings	Conclusion			We have a very low level of confidence in the ability of the available evidence to detect any differences in the overall effectiveness of a 2+1 dosing schedule compared to a 3+0 dosing schedule in the general population.

¹The review found no studies that made direct comparisons between 3-dose schedules (2p+1 or 3p+0). A single clinical trial (Palmu et al., 2016) used only one of the 2 schedules under consideration (2+1) and no RCTs with 3+0 schedule were available. Observational studies data were used to make between-study comparisons (downgraded evidence for indirectness as no head-to head studies available), each schedule was associated with strong direct and indirect effects on IPD ²The available evidence was highly heterogeneous therefore a meta-analysis was not conducted in order to provide a point estimate or confidence

²The available evidence was highly heterogeneous therefore a meta-analysis was not conducted in order to provide a point estimate or confidence intervals

³The strength of association for direct comparisons between 3-dose schedules (2p+1 or 3p+0) could not be assessed but for each schedule, studies consistently found strong associations.

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Table 2: Effectiveness and impact of PCV10 or PCV13 (WHO prequalified PCV products) in currently recommended dosing schedules

Population:

Vaccinated children; unvaccinated older children and adults.

Interventions Compared:

PCV10 administration in infants <2 years of age using either WHO recommended dosing schedules (2p+1 or 3p+0) VS:

PCV13 administration in infants <2 years of age using either WHO recommended dosing schedules (2p+1 or 3p+0) **Outcomes:**

IgG response - mean GMC and percent responders in immunized infants for vaccine- serotypes (VT)

Mortality – vaccine effectiveness and/or change in mortality rates, pre/post vaccination, for all-cause mortality, pneumonia mortality, and IPD mortality for directly immunized and unimmunized populations through indirect effects; change in case fatality ratios, pre/post vaccination, for pneumonia and IPD.

Invasive Pneumococcal Disease (IPD) – vaccine effectiveness and/or change in incidence of VT or serotype specific IPD pre/post vaccination among directly immunized and unimmunized populations through indirect effects.

Pneumonia – vaccine effectiveness and/or change in incidence, pre/post vaccination, of either clinical pneumonia or chest x-ray (CXR) confirmed pneumonia among directly immunized and unimmunized populations through indirect effects

Carriage – vaccine effectiveness and/or change in incidence, pre/post vaccination, of vaccine type or serotype specific pneumococcal carriage among directly immunized and unimmunized populations through indirect effects

PICO Question: Is the impact or effectiveness of PCV10 and PCV13 (using either WHO recommended dosing schedules) different, based on data reporting immune response following vaccination, and impact on NP Carriage and clinical outcomes (IPD, pneumonia and mortality) in vaccinated children as well as unvaccinated age groups through indirect protection?

Rating			Rating	Adjustment to rating
	No of studies/starting rating		41 observational studies	2
	Factors decreasing confidence	Limitation in study design	None serious	0
sment		Inconsisten cy	None serious	0
sesse		Indirectness	Serious ¹	-1
lity As		Imprecision	Serious ²	-1
rsQua		Publication bias	Not assessed	0
Factor	Factors increasing confidence	Strength of association	None serious ³	0
ment		Dose- response	Not applicable	0
Assesss		Mitigated bias and confounding	Not applicable	0
Quality Assesssment FactorsQuality Assesssment	Final numerical rating of quality of evidence			1
lary of gs	Statement on quality of evidence			Evidence supports a very low level of confidence that the true effect lies close to that of the estimate of the effect on the health outcome.
Summary Findings	Conclusion			We have a very low level of confidence in the ability of the available evidence to detect any differences in the overall effectiveness of PCV13 compared to PCV10 in the general population.

¹The review found no studies that made direct comparisons between the products (PCV13 or PCV10). A single clinical trial (Palmu et al., 2016) used only one of the 2 products under consideration (PCV10) and no RCTs with PCV13 were available. Observational studies data were used to make between-study comparisons (downgraded evidence for indirectness as no head-to head studies available) Only between-study comparisons could be made in analysis.

²The available evidence was highly heterogeneous therefore a meta-analysis was not conducted in order to provide a point estimate or confidence intervals

³The strength of association for direct comparisons between the products (PCV13 or PCV10) could not be assessed but for each product, studies consistently found strong associations.

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Table 1: Effectiveness and impact of different dosing schedules of WHO prequalified Pneumococcal Conjugate Vaccine (PCV) products

Population:

Vaccinated children; unvaccinated older children and adults

Interventions Compared:

2 primary doses before 6 months of age and 1 booster dose at 9 months of age or later (2p+1) in infants <2 years of age with WHO prequalified PCV products

VS:

3 primary doses before 9 months of age without a booster dose (3p+0) in infants <2 years of age with WHO prequalified PCV products **Outcomes:**

IgG response – mean GMC and percent responders in immunized infants for vaccine- serotypes (VT)

Mortality – vaccine effectiveness and/or change in mortality rates, pre/post vaccination, for all-cause mortality, pneumonia mortality, and IPD mortality for directly immunized and unimmunized populations through indirect effects; change in case fatality ratios, pre/post vaccination, for pneumonia and IPD.

Invasive Pneumococcal Disease (IPD) – vaccine effectiveness and/or change in incidence of VT or serotype specific IPD pre/post vaccination among directly immunized and unimmunized populations through indirect effects.

Pneumonia – vaccine effectiveness and/or change in incidence, pre/post vaccination, of either clinical pneumonia or chest x-ray (CXR) confirmed pneumonia among directly immunized and unimmunized populations through indirect effects

Carriage – vaccine effectiveness and/or change in incidence, pre/post vaccination, of vaccine type or serotype specific pneumococcal carriage among directly immunized and unimmunized populations through indirect effects

PICO Question: How does PCV administered to healthy children in a 2p+1 schedule compare with the vaccine administered in a 3p+0 schedule, with respect to immune response in vaccinated children and impact on clinical outcomes (IPD, pneumonia, and mortality), and nasopharyngeal carriage in the vaccinated children as well as unvaccinated age groups through indirect protection?

			Rating	Adjustment to rating
ant	No. of studies/starting rating		7 clinical trials (2 H2H)/21 observational	4
sssme	Factors decreasin g confidence	Limitation in study design	None serious	0
Asse		Inconsistency	None serious	0
tuality		Indirectness	None serious	0
storsQ		Imprecision	Serious ¹	-1
nt Fac		Publication bias	None serious	0
sssme	Factors increasing confidence	Strength of association	Not applicable	0
Asse		Dose- response	Not applicable	0
Quality Assessment FactorsQuality Assessment		Mitigated bias and confounding	Not applicable	0
	Final numerical rating of quality of evidence			3
nary F ngs	Statement on quality of evidence			Evidence supports a moderate level of confidence that the true effect lies close to that of the estimate of effect on health outcome.
Summary of Findings	Conclusion			We are moderately confident that a 2+1 dosing schedule elicits a comparable overall effectiveness and impact on NP carriage compared to a 3+0 dosing schedule in the general population

¹N=2 head-to-head randomized clinical trials were conducted, both of PCV10, both with non-PCV control groups and both in low carriage settings (9-20% NP carriage at age 12-15 months in controls). The Vietnam trial (Smith-Vaughan 2016 and Mulholland, personal communication, 2017) observed similar low carriage of PCV10-types between 2+1 schedule (4.5%, n=233), 3+0 (7.8%, n=129) and both schedules were lower than that in controls (VT=9.1%, n=187) but no differences were statistically significant due to low power. The trial in Finland (Vesikari 2016), which had large a sample size, also observed very similar PCV10-type carriage between the 2+1 schedule (12.5%, n=1289) and 3+0 (12.8%, n=1803). However, the 2+1 swabs were taken at 3 months older age and carriage in controls increased slightly during this period from 18.2% to 20.1% (n=1987). When differences between vaccinated and controls at comparable ages are considered, the 2+1 schedule may have had a slightly larger effect: i.e., 20.1% in placebo arm vs. 12.5% in 2+1 arm is a 37.8% relative reduction while 18.2% in placebo arm vs. 12.8% in 3+0 arm is a 29.7% relative reduction, but this difference was not statistically significant.

In the remaining RCTs and observational studies, the two products are not directly compared so comparisons must be made across studies and introduces considerable confounding and potential for bias. However, they support that both products reduce VT-type carriage to a similar degree.

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Table 2: Effectiveness and impact of PCV10 or PCV13 (WHO prequalified PCV products) in currently recommended dosing schedules

Population:

Vaccinated children; unvaccinated older children and adults.

Interventions Compared:

PCV10 administration in infants <2 years of age using either WHO recommended dosing schedules (2p+1 or 3p+0) VS:

PCV13 administration in infants <2 years of age using either WHO recommended dosing schedules (2p+1 or 3p+0) **Outcomes:**

IgG response - mean GMC and percent responders in immunized infants for vaccine- serotypes (VT)

Mortality – vaccine effectiveness and/or change in mortality rates, pre/post vaccination, for all-cause mortality, pneumonia mortality, and IPD mortality for directly immunized and unimmunized populations through indirect effects; change in case fatality ratios, pre/post vaccination, for pneumonia and IPD.

Invasive Pneumococcal Disease (IPD) – vaccine effectiveness and/or change in incidence of VT or serotype specific IPD pre/post vaccination among directly immunized and unimmunized populations through indirect effects.

Pneumonia – vaccine effectiveness and/or change in incidence, pre/post vaccination, of either clinical pneumonia or chest x-ray (CXR) confirmed pneumonia among directly immunized and unimmunized populations through indirect effects

Carriage – vaccine effectiveness and/or change in incidence, pre/post vaccination, of vaccine type or serotype specific pneumococcal carriage among directly immunized and unimmunized populations through indirect effects

PICO Question: Is the impact or effectiveness of PCV10 and PCV13 (using either WHO recommended dosing schedules) different, based on data reporting immune response following vaccination, and impact on NP Carriage and clinical outcomes (IPD, pneumonia and mortality) in vaccinated children as well as unvaccinated age groups through indirect protection?

			Rating	Adjustment to rating
	No of studies/starting rating		8 clinical trials (2 H2H)/ 21 observational ¹	4
		Limitation in study design	Serious ²	-1
sment	Factors	Inconsisten cy	None serious	0
sesse	decreasing confidence	Indirectness	None serious	0
lity As		Imprecision	Serious ³	-1
rsQua		Publication bias	None serious	0
Facto	Factors increasing confidence	Strength of association	Not applicable	0
sment		Dose- response	Not applicable	0
Assesss		Mitigated bias and confounding	Not applicable	0
Quality Assesssment FactorsQuality Assesssment	Final numerical rating of quality of evidence			2
of	Statement on quality of evidence			Evidence supports a limited level of confidence that the true effect lies close to that of the estimate of the effect on the health outcome.
Summary Findings	Conclusion			We have a limited level of confidence in the ability of the available evidence to detect differences in the overall effectiveness and impact of PCV10 compared to PCV13 on PCV13-type NP carriage in the general population

¹Two trials directly compared PCV13-type NP carriage (defined as the proportion of children carrying PCV13-type serotypes) among children who received PCV13 vs. PCV10. One head-to-head trial (Orami 2016) was conducted in a high burden country (PNG) and had high carriage (>80% by age 4 months) and showed similar PCV13-type carriage between PCV13 (30%) and PCV10 (32%) at 9 months of age following a 3+0 schedule; however, there was no unvaccinated control group to demonstrate that they both <u>reduced</u> vaccine-type carriage. The other head-to-head trial conducted in Vietnam (Temple 2016) using a 2+1 schedule also saw similar PCV13-type carriage (11.6%) compared to PCV10 (13.5%) at 12 months of age; this study did have a control group (PCV13=17.4%), but because of low overall carriage (29% in controls), the reductions in PCV-vaccinated children compared to control rates were not statistically significant. The remaining RCTs and observational studies are indirect (i.e., the two products are not directly compared). However, they support that both products reduce PCV13-type carriage to a similar degree. ² The head to head trials were not evaluated in settings of typical low-income country use (ie 13 type carriage was very low). This limited the ability of the studies to evaluate impact of vaccines on individual serotypes especially those in PCV13 not in PCV10.

³ The studies were not powered to detect differences between products for specific serotypes and were under powered given the low overall PCV13-type carriage observed.

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